

# **Programming Paradigms**

## **Lecture 11:**

### **Composite Types (Part 2)**

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# Wake-up Exercise

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What does the following C code print?

```
char *cptr = (char *) 0x1000;  
int *iptr = (int *) 0x1000;  
  
void *a = cptr+4;  
void *b = iptr+4;  
  
printf("%p %p\n", a, b);
```

# Wake-up Exercise

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What does the following C code print?

```
char *cptr = (char *) 0x1000;  
int *iptr = (int *) 0x1000;  
  
void *a = cptr+4;  
void *b = iptr+4;  
  
printf("%p %p\n", a, b);
```

**Result: 0x1004 0x1010**

# Wake-up Exercise

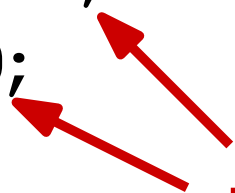
---

What does the following C code print?

```
char *cptr = (char *) 0x1000;  
int *iptr = (int *) 0x1000;
```

```
void *a = cptr+4;  
void *b = iptr+4;
```

```
printf("%p %p\n", a, b);
```



Two pointers  
initialized with  
hexadecimal  
numbers.

**Result: 0x1004 0x1010**

# Wake-up Exercise

---

What does the following C code print?

```
char *cptr = (char *) 0x1000;  
int *iptr = (int *) 0x1000;
```

```
void *a = cptr+4;  
void *b = iptr+4;
```

```
printf("%p %p\n", a, b);
```

Adding  $4 * \text{size}(t)$  to each pointer, where  $t$  is the type the pointer refers to.

**Result: 0x1004 0x1010**

# Overview

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- **Records**
- **Arrays**
- **Pointers and Recursive Types** ←

  - Operations on Pointers
  - Pointers and Arrays in C
  - Dangling References
  - Garbage Collection

# Motivation

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- Most programs handle **complex data**
- **“Linked” data structures** to represent them
  - Lists
  - Trees
  - Graphs
- Often: Want **reference to objects of same type**

# Pointers and Recursive Types

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- **Pointer**: Reference to location of memory object
  - Essentially, an address
- **Recursive type**: Composite type with reference to objects of the same type



# Reference vs. Value Model

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## PLs with reference model of variables

- No need for explicit pointers
- Fields simply refer to object of same (or other) type

## PLs with value model of variables

- Need explicit pointers to refer to objects
- Otherwise, would always copy the entire memory object

# Example: Tree in OCaml

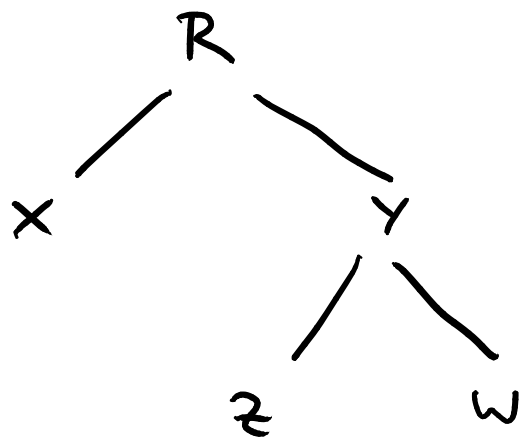
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```
type chr_tree =  
  Empty |  
  Node of char * chr_tree * chr_tree;;
```

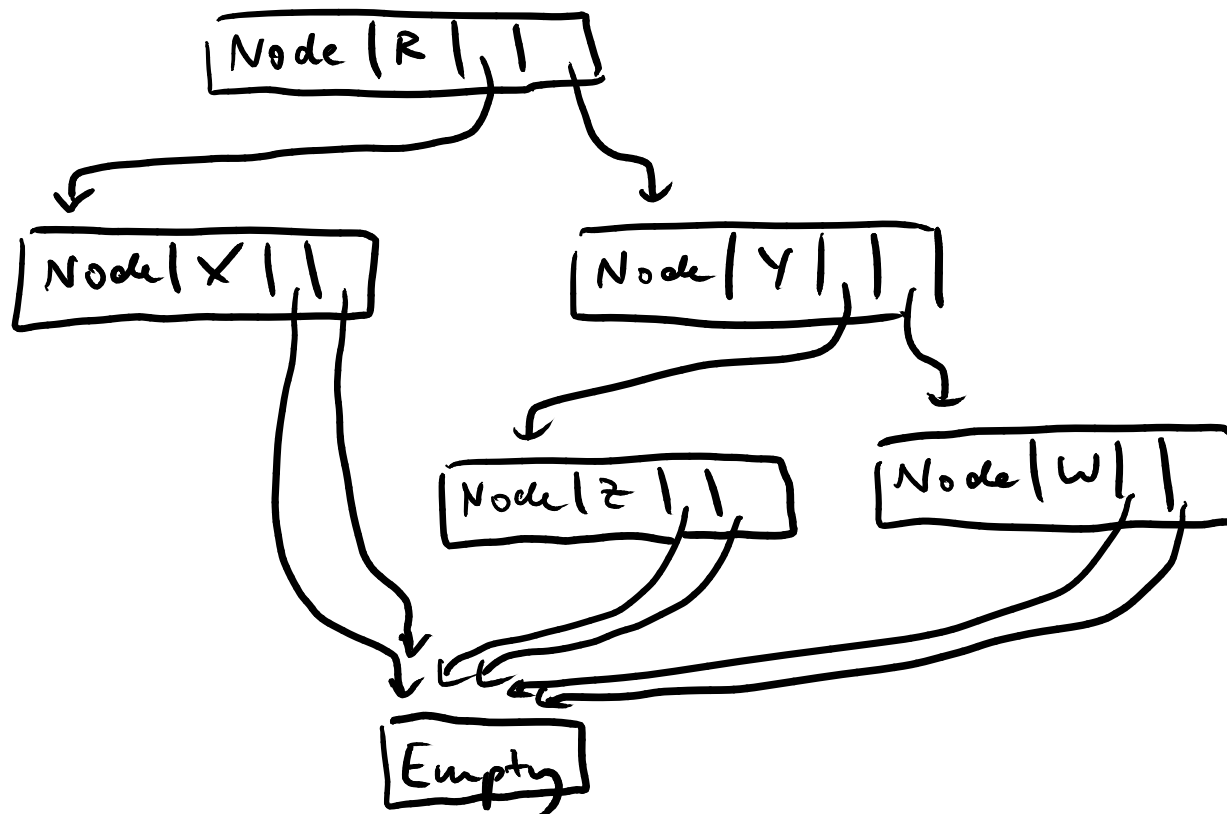
Tuple type with fields  
separated by \*

## Example: OCaml

Conceptually:




In memory:



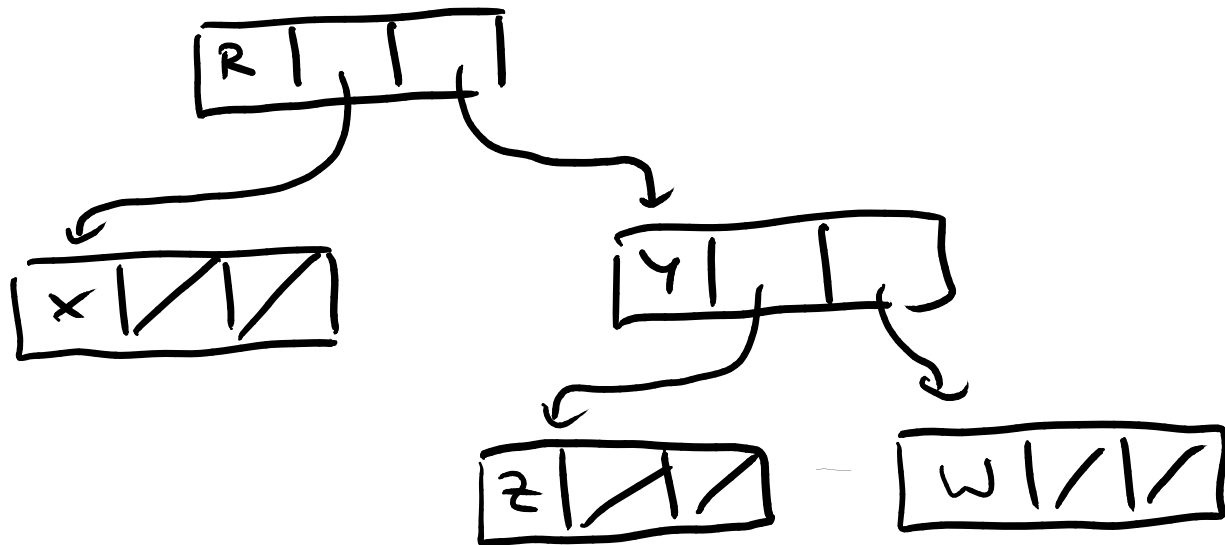
# Example: Tree in C

---

```
struct chr_tree {  
    struct chr_tree *left, *right;  
    char var;  
};
```

 Pointers to objects of type  
struct chr\_tree

Example: C



☑ means null pointer

# Operations on Pointers

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- **Creation**
- **Allocation**
- **Dereference**
- **Deallocation**

# Operations on Pointers

---

- **Creation**
- **Allocation**
- **Dereference**
- **Deallocation**



**Handled differently  
in different PLs**

# Creating Pointers

---

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**



# Creating Pointers

---

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**

→ Example (C++):

```
my_ptr = new chr_tree(/* ... */);
```

# Creating Pointers

---

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**

→ Example (C):

```
my_ptr = malloc(sizeof(struct chr_tree) );
```

# Creating Pointers

---

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**

→ Example (C):

```
int n = 3;  
my_ptr = &n;
```

# Allocating Memory

---

- **Pointer** itself is only an **address**
- **Need sufficient memory** to hold the object it refers to
- **Memory allocation**
  - Implicit on some PLs (e.g., OCaml, Java)
  - Explicit in other PLs (e.g., C)

# Allocating Memory

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- **Memory allocation**
  - Implicit on some PLs (e.g., OCaml, Java)
  - Explicit in other PLs (e.g., C)

→ **Example (OCaml):**

```
let t = Node('R', Empty, Empty);;
```

# Allocating Memory

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- **Pointer** itself is only an **address**
- **Need sufficient memory** to hold the object it refers to
- **Memory allocation**
  - Implicit on some PLs (e.g., OCaml, Java)
  - Explicit in other PLs (e.g., C)



## Example (C):

```
my_ptr = malloc(sizeof(struct chr_tree));  
// fill object with content
```

# Dereferencing a Pointer

---

## **Access memory object** a pointer refers to

- Access entire object
  - Dereferencing operator
- Access fields of record that the pointer refers to
  - Right-arrow notation
  - Dot notation:
    - Implicit dereferencing

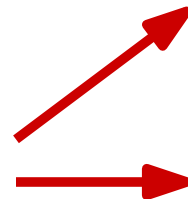
# Dereferencing a Pointer

---

## Access memory object a pointer refers to

- Access entire object

- Dereferencing operator



Example (Pascal):

```
my_ptr^.val := 'X';
```

Example (C):

```
(*my_ptr).val = 'X';
```

- Access fields of record that the pointer refers to

- Right-arrow notation

- Dot notation:


Implicit dereferencing



# Dereferencing a Pointer

---

## Access memory object a pointer refers to

- Access entire object
  - Dereferencing operator
- Access fields of record that the pointer refers to
  - Right-arrow notation  Example (C):  
`my_ptr->val = 'X' ;`
  - Dot notation:  
Implicit dereferencing

# Dereferencing a Pointer

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## Access memory object a pointer refers to

- Access entire object
  - Dereferencing operator
- Access fields of record that the pointer refers to

- Right-arrow notation

- Dot notation:

Implicit dereferencing

### Example (Ada):

```
T : chr_tree;  
P : chr_tree_ptr;  
...  
T.val := 'X';  
P.val := 'Y';
```



# Deallocation

---

- **Memory must be reclaimed at some point**
  - Otherwise: **Memory leak** and, eventually, out-of-memory
- **Explicit deallocation by programmer**
  - E.g., C, C++, Rust
- **Implicit deallocation by runtime: Garbage collection**
  - E.g., Java, C#, Python

# Deallocation: Example

---

```
#include <stdlib.h>
#include <stdio.h>

int main(void)
{
    char *line = NULL;
    size_t size = 0;
    for(;;) {
        /* read line from stdin;
           implicitly allocates memory */
        getline(&line, &size, stdin);
        // ...

        line = NULL;
    }
    return 0;
}
```

# Deallocation: Example

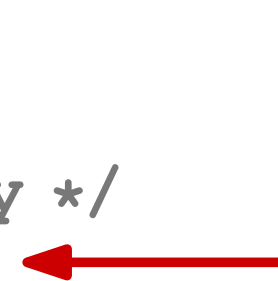
---

```
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int main(void)
{
    char *line = NULL;
    size_t size = 0;
    for(;;) {
        /* read line from stdin;
           implicitly allocates memory */
        getline(&line, &size, stdin);
        // ...

        line = NULL;
    }
    return 0;
}
```

**Memory leak:  
Each iteration  
allocates memory  
that gets never freed.**



# Deallocation: Example

---

```
#include <stdlib.h>
#include <stdio.h>
```

```
int main(void)
```

```
{
```

```
    char *line = NULL;
```

```
    size_t size = 0;
```

```
    for(;;) {
```

```
        /* read line from stdin;
```

```
           implicitly allocates memory */
```

```
        getline(&line, &size, stdin);
```

```
        // ...
```

```
        free(line);
```

```
        line = NULL;
```

```
    }
```

```
    return 0;
```

```
}
```

**Fix: Free memory  
in each iteration**



# Quiz: Memory Leak

---

**How many bytes of memory are leaked when executing the following code?**

Assumption: ints occupy four bytes

```
int *c;
for (int i = 0; i < 5; i += 2) {
    c = malloc(sizeof(int));
    if (i % 4 == 0) {
        free(c);
    }
}
```

# Quiz: Memory Leak

---

How many bytes of memory are leaked when executing the following code?

Assumption: ints occupy four bytes


```
int *c;
for (int i = 0; i < 5; i += 2) {
    c = malloc(sizeof(int));
    if (i % 4 == 0) {
        free(c);
    }
}
```

**Answer: 4 bytes**



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# Pointers and Arrays in C

---

- **Closely linked language constructs**
- **Example**

```
int n;  
int *a;  
int b[5] = {1, 2, 3, 4, 5};
```

```
a = b;  
n = a[3];  
n = *(a+3);  
n = b[3];  
n = *(b+3);
```

# Pointers and Arrays in C

---

- **Closely linked language constructs**
- **Example**

```
int n;  
int *a;  
int b[5] = {1, 2, 3, 4, 5};
```

```
a = b;  
n = a[3];  
n = *(a+3);  
n = b[3];  
n = *(b+3);
```

**Pointer to the initial  
element of b**



# Pointers and Arrays in C

---

- **Closely linked language constructs**
- **Example**

```
int n;  
int *a;  
int b[5] = {1, 2, 3, 4, 5};
```

```
a = b;  
n = a[3];  
n = *(a+3);  
n = b[3];  
n = *(b+3);
```



**All store 4 into n**

# Array Access = Pointer Arithmetic

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- **Subscript operator [ ] defined in terms of pointer arithmetic:**

**$E1 [E2]$  means  $( * ( (E1) + (E2) ) )$**

- For any expressions  $E1$  and  $E2$

- **E.g.,  $arr [3]$  is equivalent to  $3 [arr]$**

# More Pointer Arithmetic

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## Arithmetic operations beyond addition

- Subtraction

- Get distance between two elements:

$p1 - p2$  where both are pointers to elements in the same array

- Comparison

- Check if one element is at higher index than another:

$p1 > p2$

- All scaled according to type of pointer

# Difference: Allocation

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## Main difference between arrays and pointers

- **Arrays** are **implicitly allocated**:

`int arr[10];` allocates space for ten ints

- **Pointers** must be **explicitly allocated**:

`int *arr;` does not allocate anything

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# Dangling References

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- **Dangling reference**: Live pointer that no longer points to a valid object
- Dual problem to memory leaks
- Created when
  - **Pointer to stack object escapes** to surrounding context
  - **Heap object is explicitly deallocated**, but pointer lives on
- **Behavior of dereferencing: Undefined**

# Quiz: Dangling References

---

At which line(s) does this C code use a dangling reference?

```
1  char *foo() {
2      char *cp = malloc(sizeof(char));
3      cp[0] = 'b';
4      return cp;
5  }
6  int main(void) {
7      char *csp = malloc(3 * sizeof(char));
8      csp[0] = 'a';
9      csp[1] = *foo();
10     csp[2] = 'c';
11     free(csp);
12     printf("%c %c %c\n", csp[0], csp[1], csp[2]);
13 }
```

# Overview

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- **Records**
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# Garbage Collection

---

- **Memory deallocation managed by PL implementation**
  - Avoids dangling references
  - Programmer can focus on other aspects of the code
- **Common in “managed languages”, e.g., Java, Python, JavaScript**

# Reference Counts

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## How to implement garbage collection?

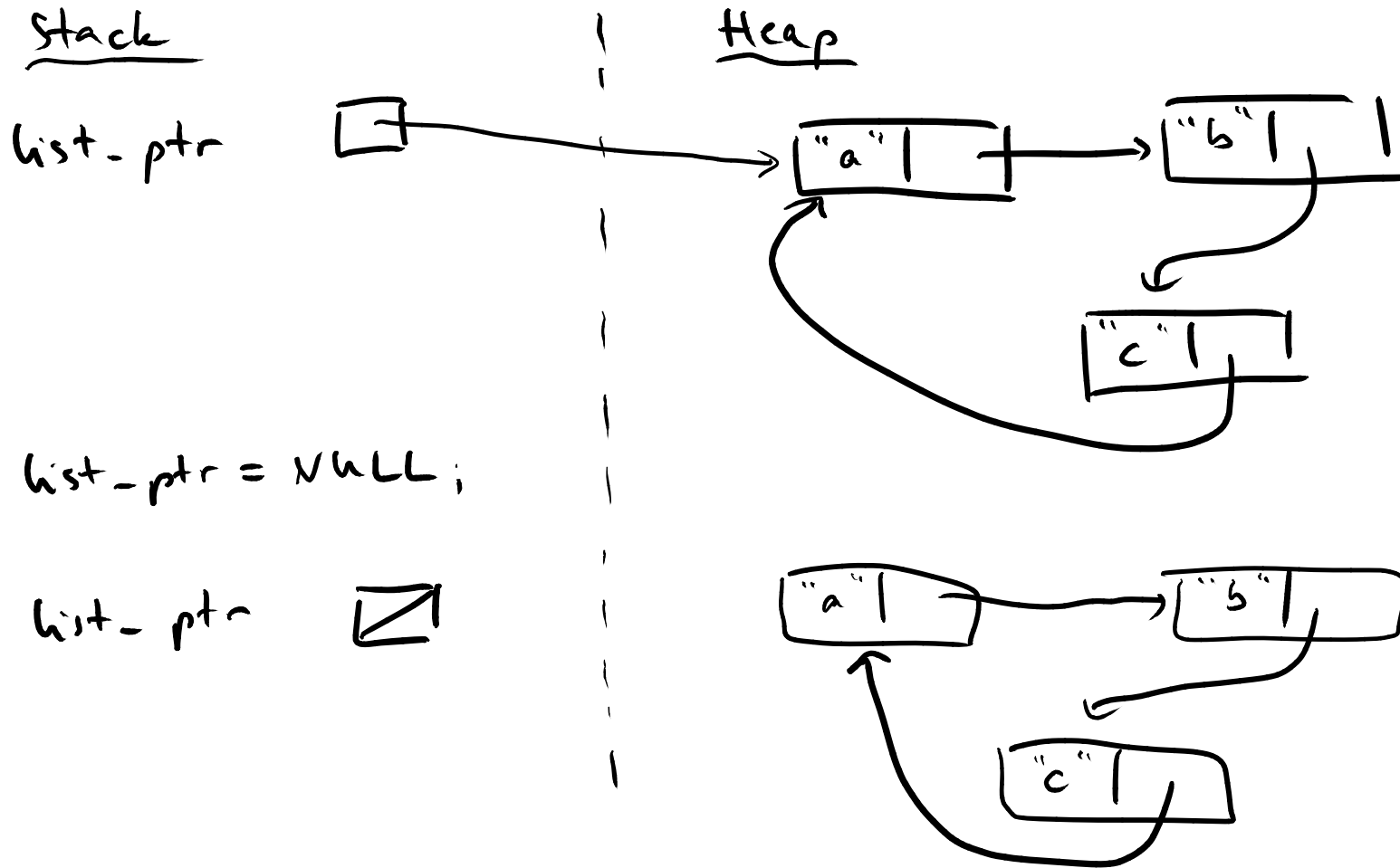
- One **counter** for each memory object
- **Increment** when new pointer to object created
- **Decrement** when pointer gets destroyed
  - E.g., for pointers to local variables, on function return
- Deallocate **“useless” objects**, i.e., with **reference count zero**

# Circular Dependencies

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- **Problem of naive implementation:**  
**Circular data structures**
  - Memory object may be “useless” despite having references pointing to it

## Example: Circular Data Structures



# Circular Dependencies

---

- **Problem of naive implementation:**  
**Circular data structures**
  - Memory object may be “useless” despite having references pointing to it
- **Better approach**
  - Object  $o$  is “useless” unless a **chain of valid pointers from something that has a name to  $o$**  exists



# Mark and Sweep

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## Algorithm to identify useless blocks

- Walk heap and **mark every block as useless**
- Start from external references (i.e., names in program) and **mark every reachable block as useful**
- Move all **useless blocks to free list**
  - Free list: Data structure to maintain free heap space

# Optimizations and Other Algorithms

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- **Various improvements of simple mark and sweep**
  - **Pointer reversal**: Traversal without a stack of visited blocks
  - **Stop-and-copy**: Prevent fragmentation
  - **Generational garbage collection**: Maintain older and newer memory objects in separate subheaps

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