## Programming Paradigms

## Functional Languages

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## Overview

- Introduction
- A Bit of Scheme
- Evaluation Order


## Wake-up Quiz

## What does the following Scheme code evaluate to?

(let (foo 4))
(let ( (foo 2)
(bar foo))
(+ foo bar)))

## Wake-up Quiz

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(let (foo 4))
(let ( (foo 2)
(bar foo))
(+ foo bar)))

Result: 6

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## What does the following Scheme code evaluate to?

(let ( (foo 4)) let binds names
(let ( (foo 2) to values
(bar foo))
(+ foo bar)))

Result: 6

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(let (foo 4)) let binds names
(let ( (foo 2) to values
(bar foo))
(+ foo bar)))

Result: 6
Scope of bindings: Second argument only

## Wake-up Quiz

## What does the following Scheme code evaluate to?

(let (foo 4)) let binds names
(let ( (foo 2) to values (bar foo)) (+ foo bar)))
bar takes the value of the outer foo

Result: 6
value of the outer
foo
Scope of bindings:
Second argument only

## Functional Languages

- Functional paradigm: Alternative to imperative PLs
- Output: Mathematical function of input
- No internal state, no side effects
- In practice: Fuzzy boundaries
- "Functional" features in many "imperative" PLs
- E.g., higher-order functions
- "Imperative features" in many "functional" PLs
- E.g., assignment and iteration


## Historical Origins

## . Lambda calculus

- Alonzo Church, 1930s
- Express computation based on
- Abstraction into functions
- E.g., ( $\lambda x . M)$
- Function application
- E.g., (MN)


## Features

. First-class function values and higher-order function

- Extensive polymorphism
- List types and operators
- Structured function returns
- Constructors for structured objects
- Garbage collection


## Features

- First-class function values and higher-order function
- Extensive polymorphism
- List types and operators return values
- Structured function returns
- Constructors for structured objects
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## Features

- First-class function values and higher-order function Use a function on
- Extensive polymorphism different kinds of values, e.g., using
- List types and operators type inference
- Structured function returns
- Constructors for structured objects
- Garbage collection


## Features

- First-class function values and
higher-order function
- Extensive polymorphism
- List types and operators
- Structured function returns

Ideal for recursion (handle first element and then recursively the remainder)

- Constructors for structured objects
- Garbage collection


## Features

- First-class function values and higher-order function

Functions can return any

- Extensive polymorphism structured data,
- List types and operators e.g., lists and functions
- Structured function returns
- Constructors for structured objects
- Garbage collection


## Features

- First-class function values and higher-order function
- Extensive polymorphism
- List types and operators
- Structured function returns
- Constructors for structured objects
- Garbage collection

Construct aggregate objects inline and all-at-once

## Features

- First-class function values and higher-order function
- Extensive polymorphism
- List types and operators
- Structured function returns
- Constructors for structured objects
- Garbage collection

Necessary because
evaluation tends to create lots of temporary data

## Purely Functional PLs

. Functions depend only on their parameters
$\square$ Not on any other global or local state

- Order of evaluation is irrelevant
- Eager and lazy evaluation yield same result
- E.g., Haskell
- By Philip Wadler et al., first released in 1990
$\square$ Actively used as a research language


## Non-Pure Functional PLs

- Mix of functional features with assignments
- E.g., Scheme
$\square$ Dialect of Lisp
$\square$ By Guy Steele and Gerlad Jay Sussman (MIT)
- E.g., OCaml
$\square$ Extends ML with OO features
$\square$ Developed at INRIA (France)


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## Function Application

- Pair of parentheses: Function application
- First expression inside: Function
$\square$ Remaining expressions: Arguments
- Examples:
(+ 3 4)
$\left(\begin{array}{ll}+ & 4\end{array}\right)$


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- Examples:
(+ 3 4)
( $(+34)$ )
Applies + function
to 3 and 4.
Evaluates to 7.


## Function Application

- Pair of parentheses: Function application
$\square$ First expression inside: Function
$\square$ Remaining expressions: Arguments
- Examples:
(+ 3 4)
Applies + function to 3 and 4.
Evaluates to 7.
( +3 4) )
Tries to call 7 with zero arguments.
Gives runtime error.


## Creating Functions

- Evaluating a lambda expression yields a function
$\square$ First argument to lambda: Formal parameters
$\square$ Remaining arguments: Body of the function
. Example:
(lambda (x) (* x x) )


## Creating Functions

- Evaluating a lambda expression yields a function
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- Example:
(lambda (x) (* x x) )
Yields the "square" function
- Names bound to values with let
$\square$ First argument: List of name-value pairs
$\square$ Second argument: Expressions to be evaluated in order
- Example:
(let ( (a 3)
(b 4)
(square (lambda (x) (* x x)))
(plus +))
(sqrt (plus (square a) (square b))))


## Bindings

- Names bound to values with let
- First argument: List of name-value pairs
$\square$ Second argument: Expressions to be evaluated in order
- Example:
(let ( (a 3)
(b 4)
Yields 5.0
(square (lambda (x) (* x x)))
(plus +))
(sqrt (plus (square a) (square b))))


## Conditional Expressions

- Simple conditional expression with if
$\square$ First argument: Condition
$\square$ Second/third argument: Value returned if condition is true/false
- Multiway conditional expression with cond
- Examples:
(if (<23)45)
(cond

$$
\left.\begin{array}{l}
\left(\begin{array}{lll}
(< & 3 & 2
\end{array}\right) \\
\left(\begin{array}{ll}
1
\end{array}\right) \\
\left(\begin{array}{lll}
(< & 4 & 3
\end{array}\right) \\
\left(\begin{array}{ll}
\text { l }
\end{array}\right)
\end{array}\right)
$$

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- Examples:
(if (< 2 3) 4 5)
Yields 4
(cond

$$
\left.\begin{array}{l}
\left(\begin{array}{llll}
(< & 3 & 2
\end{array}\right) \\
\left(\begin{array}{ll}
(< & 4
\end{array}\right) \\
\left(\begin{array}{ll}
( & )
\end{array}\right) \\
\left(\begin{array}{ll}
\text { se }
\end{array}\right)
\end{array}\right)
$$

## Conditional Expressions

- Simple conditional expression with if
- First argument: Condition
$\square$ Second/third argument: Value returned if condition is true/false
- Multiway conditional expression with cond
- Examples:

$$
\text { (if }(<23) 45)
$$

Yields 4

Yields 3
(cond
( $\left(\begin{array}{ll}(<2) & 2\end{array}\right)$
( $\left(\begin{array}{ll}( & 4\end{array}\right)$ ) $)$
(else 3))

## Dynamic Typing

- Types are determined and checked at runtime
- Examples:

```
(if (> a 0) (+ 2 3) (+ 2 "foo"))
```

(define min (lambda (a b) (if (< a b ) a b)))

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- Examples:
(if (> a 0) (+ 2 3) (+ 2 "foo"))
Evaluates to 5 if a is positive; runtime type error otherwise
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## Dynamic Typing

- Types are determined and checked at runtime
- Examples:
(if (> a 0) (+ 2 3) (+ 2 "foo"))
Evaluates to 5 if a is positive; runtime type error otherwise
(define min (lambda (a b) (if (< a b ) a b)))
Implicitly polymorphic:
Works both for integers and floats.


## Quiz: Functions in Scheme

## Which of the following yields $\mathbf{9}$ ?

; Program 1
( (lambda (x) (* x x) ) 3)
; Program 2
$(-(+124)(+24))$
; Program 3
(9)
; Program 4
( (lambda (x y) (- x y)) (+ 10 0) (- 41 ))

## Quiz: Functions in Scheme

## Which of the following yields $\mathbf{9}$ ?

; Program 1
( (lambda (x) (* x x) ) 3)
; Program 2
(- (+ 12 4) (+ 24 ) ) $X$
; Program 3
(9) $X$
; Program 4
( (lambda (x y) (- x y) ) (+ 10 0) (- 4 1)) X

## Lists

- Central data structure with various operations
- car extracts first element
- cdr extracts all elements but first
$\square$ cons joins a head to the rest of a list
- Examples:
(car' (2 3 4)) (cdr ' (2 3 4)) (cons $\left.2{ }^{\prime}(34)\right)$


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- Examples:
(car' (2 3 4) ) (cdr $\quad\binom{2}{3}$ ) (cons $\left.2^{\prime}(34)\right)$
Yields 2


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- Examples:
(car ' (2 3 4) )
Yields 2
(cdr' (2 3 4) )
Yields (3 4)
"Quote" to
prevent
interpreter
from
evaluating
(i.e., a literal)
(cons $2^{\prime}(34)$ )


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"Quote" to
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Yields (2 3 4)

## Assignments

## - Side effects via

$\square$ set! for assignment to variables

- set-car! for assigning head of list
- set-cdr! for assigning tail of list
. Example:

```
(let ((x 2)
    (l' (a b)))
(set! x 3)
(set-car! l ' (c d))
(set-cdr! l ' (e))
(cons x l))
```


## Assignments

## - Side effects via

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- set-car! for assigning head of list
- set-cdr! for assigning tail of list
. Example:

```
(let ((x 2)
    (l'(a b)))
    (set! x 3)
    (set-car! l ' (c d))
    (set-cdr! l ' (e))
    (cons x l))
```

$$
\begin{aligned}
& x: 3 \\
& l:(\underline{a} b) \\
& l:((c d) \underline{b}) \\
& l:((c d) e) \\
& (3(c d) e)
\end{aligned}
$$

## Sequencing

- Cause interpreter to evaluate multiple expressions one after another with begin
- Example:
(let
( (n "there"))
(begin
(display "hi ")
(display n)))


## Sequencing

- Cause interpreter to evaluate multiple expressions one after another with begin
- Example:
(let
( (n "there"))
(begin
(display "hi ")
(display n)))
Prints "hi there"


## Iteration

- Several forms of loops, e.g., with do Example:
( (lambda (n)
(do ( i 0 (+ i 1))
(a 0 b)
(blabl)
((= in) b)
(display b)
(display " "))) 5)


## Iteration

- Several forms of loops, e.g., with do Example:
( (lambda (n)
(do ( (i O (+ i 1))
(a 0 b)
(b 1 (+ a b)))
( (= in) b)
(display b)
(display " "))) 5)

List of triples that each

- specify a new variable

■ its initial value

- expression to compute next value


## Iteration

- Several forms of loops, e.g., with do
- Example:

condition and
expression to
be returned


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- Example:



## Programs as Lists

- Programs and lists: Same syntax
$\square$ Both are S-expressions: String of symbols with balanced parentheses
- Construct and manipulate an unevaluated program as a list
- Evaluate with eval
- Example:
(eval (cons ' $+\left(\right.$ list $^{\prime} \mathbf{2 ' 3}^{\prime} 3$ ))


## Programs as Lists

- Programs and lists: Same syntax
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- Evaluate with eval Constructs a list from
- Example:
(eval (cons '+ (list '2'3)))


## Programs as Lists

- Programs and lists: Same syntax
$\square$ Both are S-expressions: String of symbols with balanced parentheses
- Construct and manipulate an unevaluated program as a list
- Evaluate with eval Constructs a list from
- Example:
(eval (cons ' + (list'2'3)))
Yields 5


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## Evaluation Order

. In what order to evaluate subcomponents of an expression?
$\square$ Applicative-order: Evaluate arguments before passing them to the function

- Normal-order: Pass arguments unevaluated and evaluate once used
- Scheme uses applicative-order
(define double (lambda $(x) \quad(+x \times)$ ))

$$
\begin{aligned}
& \text { Applicative-order } \\
& (\text { double }(* 34)) \\
\Rightarrow(\text { double 12) } & \frac{\text { Normal-order }}{(\text { double }(* 34))} \\
\Rightarrow(+1212) & \\
\Rightarrow 24 & (+(* 34)(* 34)) \\
\Rightarrow & \\
\hline & (+12(* 34)) \\
& \\
& \\
& (+1242)
\end{aligned}
$$

Doing extra work with normal order!
(define switch (lambda ( $x$ a $b c$ )
(cong $((<x 0) a) \rightarrow$ Doing extra work with

$$
((=\times 0) \quad b)
$$

$$
\left(\left(\begin{array}{ll}
(>0) & c)))
\end{array}\right)\right.
$$

$$
\begin{aligned}
& \text { Applicative - order } \\
& \text { (switch }-1(+12)(+23)(+34)) \\
& \Rightarrow(\text { switch }-13(+23)(+34)) \\
& \Rightarrow(\text { switch }-135(+34)) \\
& \Rightarrow \text { (switch }-131577) \\
& \Rightarrow(\text { cons }((<-10) 3) \\
& \left.\left(\begin{array}{ll}
(=-1 & 0
\end{array}\right) 5\right) \\
& \left(\begin{array}{ll}
1>-1 & 0
\end{array}\right) 7 \text { ) } \\
& \Rightarrow \ldots \Rightarrow 3
\end{aligned}
$$

## Impact on Correctness

- Evaluation order also affects correctness
- E.g., runtime error when evaluating an "unneeded" subexpression
$\square$ Terminates program in applicative-order
$\square$ Not noticed in normal-order


## Lazy Evaluation

- Evaluate subexpressions on-demand
- Avoid re-evaluating the same expression
$\square$ Memorize its result
- Transparent to programmer only in PL without side effects, e.g., Haskell
$\square$ In PLs with side effects, e.g., Scheme:
Programmer can explicitly ask for lazy evaluation with delay


## Quiz: Evaluation Order

(define double (lambda (x) (+ x x)))
(define avg (lambda (x y) (/ (+ x y) 2)))

How many evaluation steps are needed to evaluate
(double(avg 2 4))
under applicative-order and normal-order evaluation?

## Quiz: Evaluation Order

(define double (lambda (x) (+ x x)))
(define avg (lambda (x y) (/ (+ x y) 2)))

How many evaluation steps are needed to evaluate
(double(avg 2 4))
under applicative-order and normal-order evaluation?

5 and 8

$$
\begin{aligned}
& \frac{\text { Applicative-order }}{} \\
& (\text { double }(\text { avg } 24)) \\
\Rightarrow & (\text { double }(/(+24) 2)) \\
\Rightarrow & (\text { double }(/ 62)) \\
\Rightarrow & (\text { double } 3) \\
\Rightarrow & (+33) \\
\Rightarrow & 6
\end{aligned}
$$

$$
5 \text { steps }
$$

Normal-order

$$
\text { (double (avg } 24) \text { ) }
$$

$$
\begin{aligned}
& (+(\arg 24)(\arg 24) \\
\Rightarrow & (+(/(+24) 2)(\arg 24)) \\
\Rightarrow & (+(1 / 62)(\arg 24) \\
\Rightarrow & (+3(\arg 24)) \\
\Rightarrow & (+3(1(+24) 2)) \\
\Rightarrow & (+3(162)) \\
\Rightarrow & (+33) \\
\Rightarrow & 6
\end{aligned}
$$

8 steps

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