

# **Program Testing and Analysis: Symbolic and Concolic Testing (Part 2)**

**Dr. Michael Pradel**

**Software Lab, TU Darmstadt**

# Warm-up Quiz

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What does the following code print?

```
var sum = 0;
var array = [11, 22, 33];
for (x in array) {
    sum += x;
}
console.log(sum);
```

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0012

66

Something else

# Warm-up Quiz

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112233

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66

Some JS engines

Something else

# Warm-up Quiz

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What does the following code print?

```
var sum = 0;
var array = [11, 22, 33];
for (x in array) {
    sum += x;
}
console.log(sum);
```

**Arrays are objects**



**For-in iterates over  
object property names  
(not property values)**



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0012

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Some JS engines

Something else

# Warm-up Quiz

---

What does the following code print?

```
var sum = 0;
var array = [11, 22, 33];
for (x in array) {
    sum += x;
}
console.log(sum);
```

For arrays, use  
traditional for loop:

```
for (var i=0;
     i < array.length;
     i++) ...
```

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0012

66

Some JS engines

Something else

# Outline

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1. Classical **Symbolic Execution**
2. **Challenges** of Symbolic Execution
3. **Concolic Testing** ←
4. Large-Scale Application in **Practice**

Mostly based on these papers:

- *DART: directed automated random testing*, Godefroid et al., PLDI'05
- *KLEE: Unassisted and Automatic Generation of High-Coverage Tests for Complex Systems Programs*, Cadar et al., OSDI'08
- *Automated Whitebox Fuzz Testing*, Godefroid et al., NDSS'08

# Problems of Symbolic Execution

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- **Loops and recursion**: Infinite execution trees
- **Path explosion**: Number of paths is exponential in the number of conditionals
- **Environment modeling**: Dealing with native/system/library calls
- **Solver limitations**: Dealing with complex path conditions
- **Heap modeling**: Symbolic representation of data structures and pointers

# Problems of Symbolic Execution

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- **Loops and recursion**: Infinite execution trees
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- **Heap modeling**: Symbolic representation of data structures and pointers

**One approach: Mix symbolic with concrete execution**

# Concolic Testing

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**Mix concrete and symbolic execution =  
"concolic"**

- Perform concrete and symbolic execution side-by-side
- Gather path constraints while program executes
- After one execution, negate one decision, and re-execute with new input that triggers another path

# Example

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**hand-written notes**

# Exploring the Execution Tree

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**(hand-written notes)**

# Algorithm

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## Repeat until all paths are covered

- **Execute** program with concrete input  $i$  and collect **symbolic constraints** at branch points:  $C$
- **Negate one constraint** to force taking an alternative branch  $b'$ : Constraints  $C'$
- Call constraint solver to **find solution** for  $C'$ : **New concrete input**  $i'$
- **Execute** with  $i'$  to take branch  $b'$
- Check at runtime that  $b'$  is indeed taken  
Otherwise: "divergent execution"

## Divergent execution: Example

```
function f(a) {
  if (Math.random() < 0.5) {
    if (a > 1) {
      console.log("took it");
    }
  }
}
```

First exec.

$$a = 0$$

taken  
not taken

Second exec

$$a = 2$$

not taken

Path constraint:

$$a_0 \leq 1$$

Solver:

$$a = 2$$

→ divergent

# Quiz

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After how many executions and how many queries to the solver does concolic testing find the error?

Initial input:  $a=0$ ,  $b=0$

```
function concolicQuiz(a, b) {  
  if (a === 5) {  
    var x = b - 1;  
    if (x > 0) {  
      console.log("Error");  
    }  
  }  
}
```



# Benefits of Concolic Approach

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When symbolic reasoning is impossible or impractical, **fall back to concrete values**

- Native/system/API functions
- Operations not handled by solver (e.g., floating point operations)

# Outline

---

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# Large-Scale Concolic Testing

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- **SAGE**: Concolic testing tool developed at Microsoft Research
- Test robustness against unexpected **inputs read from files**, e.g.,
  - Audio files read by media player
  - Office documents read by MS Office
- Start with known input files and handle **bytes read from files as symbolic input**
- Use concolic execution to compute variants of these files

# Large-Scale Concolic Testing (2)

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- Applied to hundreds of applications
- Over **400 machine years of computation** from 2007 to 2012
- Found **hundreds of bugs**, including many security vulnerabilities
  - One third of all the bugs discovered by file fuzzing during the development of Microsoft's Windows 7

# Summary: Symbolic & Concolic Testing

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## Solver-supported, whitebox testing

- Reason **symbolically** about (parts of) inputs
- Create new inputs that **cover not yet explored paths**
- More **systematic** but also more **expensive** than random and fuzz testing
- **Open challenges**
  - Effective exploration of huge search space
  - Other applications of constraint-based program analysis, e.g., debugging and automated program repair

# **Program Testing and Analysis:**

## **GUI Testing**

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# GUI Testing

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- Test application via its **graphical user interface (GUI)**
- Possible approaches
  - Manual testing
  - Semi-automated, e.g., Selenium
- Here: **Automated GUI testing**
  - Purely random testing, e.g., Monkey for Android
  - Today: Sophisticated approaches beyond purely random testing

# Challenges

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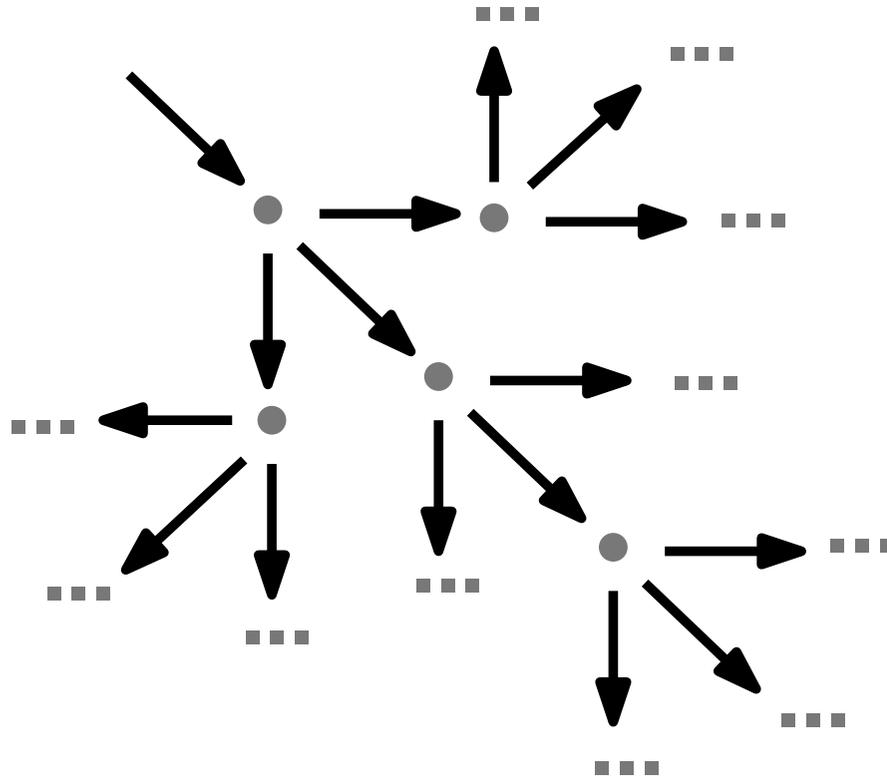
- **Two input dimensions**
  - **Sequences of events**, e.g., clicks, mouse movements (focus of today's lecture)
  - **Input values**, e.g., strings entered into form
- **Not all **events** are known ahead of time**
  - Web apps load content dynamically
- **Need a **test oracle****
  - When does an execution expose a bug?
- **Huge search space**

# Huge Search Space

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## Challenge: **Huge search space**

- Too large to explore exhaustively

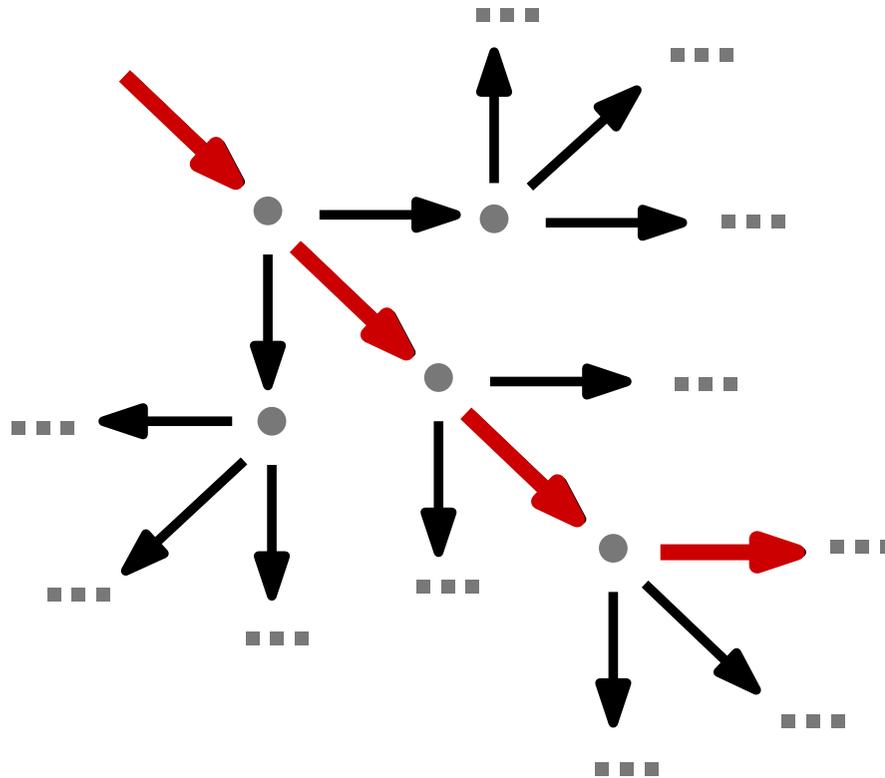


# Huge Search Space

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Approach:

**Steer** search toward **potential bugs**  
**or not yet explored behavior**



# Outline

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- **Feedback-directed GUI testing**

Based on *A Framework for Automated Testing of JavaScript Web Applications*, Artzi et al., ICSE 2011

- **Model inference-based testing**

Based on *Guided GUI Testing of Android Apps with Minimal Restart and Approximate Learning*, Choi et al., OOPSLA 2013

- **Responsiveness testing**

Based on *EventBreak: Analyzing the Responsiveness of User Interfaces through Performance-Guided Test Generation*, Pradel et al., OOPSLA 2014

# Artemis

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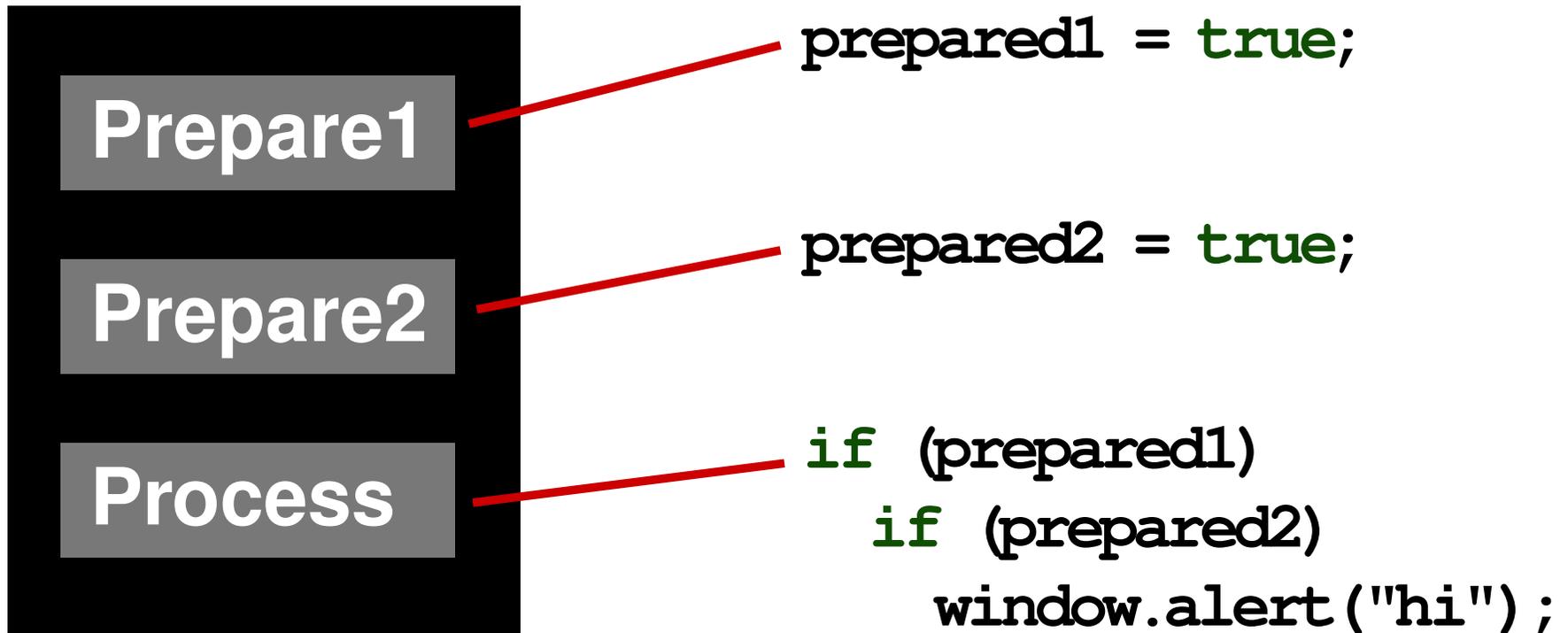
## Feedback-directed generation of GUI tests

- Start with randomly selected events
- Gather feedback from execution
- Steer toward particularly interesting behavior
- Implemented for web applications
- Test oracle: JavaScript exceptions and invalid HTML

# Example

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Application with 3 buttons:



Initially, prepared1=prepared2=false

# Gathering Feedback

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**Feedback** gathered **while executing**  
**generated sequences of events:**

- Available events
- Source code of handlers attached to events
- Memory locations read & written
- Branch coverage

# Artemis: Algorithm

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- Input: URL  $u$
- Add sequence  $[load\ u]$  to worklist
- While worklist not empty
  - **Execute** next sequence and gather feedback
  - **Add new sequences** to worklist
    - \* Modify inputs of existing sequence
    - \* Extend sequence with additional event
    - \* Create new sequence with new start URL
  - **Prioritize** worklist

# Prioritization 1

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## Coverage-guided prioritization

- Keep track of branch points in each handler
  - Branch point = entry of handler or control flow branch
- Prioritize sequences that trigger handlers with low coverage

$$P(e_1, \dots, e_k) = 1 - cov(e_1) \cdot \dots \cdot cov(e_k)$$

where

$$cov(e) = \frac{\text{covered branch points of } e\text{'s handler}}{\text{all discovered branch points of } e\text{'s handler}}$$

## Coverage-guided prioritization: Example

Suppose to have executed:

Prepare 2, Process

$$\text{cov}(\text{Prep 1}) = \frac{0}{1} = 0$$

$$\text{cov}(\text{Prep 2}) = \frac{1}{1} = 1$$

$$\text{cov}(\text{Process}) = \frac{1}{\sqrt{5}}$$

Possible next sequences:

• Prepare 2, Process, Prepare 1

$$\rightarrow P = 1 - 1 \cdot \frac{1}{\sqrt{2}} \cdot 0 = 1$$

• — " —, Prepare 2

$$\rightarrow P = 1 - 1 \cdot \frac{1}{\sqrt{2}} \cdot 1 = \frac{1}{\sqrt{2}}$$

• — " —, Process

$$\rightarrow P = 1 - 1 \cdot \frac{1}{\sqrt{2}} \cdot \frac{1}{\sqrt{2}} = \frac{2}{\sqrt{5}}$$

highest priority  $\Rightarrow$  execute next

# Prioritization 2

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## Prioritize based on **read/write sets**

- Keep track of memory locations read/written by each handler
- Prioritize sequences where some **handlers write values read by a subsequence handler**

$$P(e_1, \dots, e_k) = \frac{|(w(e_1) \cup \dots \cup w(e_{k-1})) \cap r(e_k)| + 1}{|r(e_k)| + 1}$$

- Intuition: Can cover interesting behavior only after some handlers have set the right pre-conditions

## R/W set-based prioritization: Example

Suppose has execution:

Process,	Prepare 2,	Prepare 1
r: prep 1	w: prep 2	w: prep 1

Possible next sequence:

•		u		,	Prepare 1
•		u-		,	Prepare 2
•		-		,	Process

} to be completed...

# Outline

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Based on *Guided GUI Testing of Android Apps with Minimal Restart and Approximate Learning*, Choi et al., OOPSLA 2013

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# SwiftHand

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- **Challenge: Restarting the application is expensive**
- **Learn **finite-state model** of application while exploring it**
  - Explore states with unknown outgoing transitions
  - Continuously refine model by splitting states
- **Explores application with **small number of restarts****

# Outline

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# Motivation

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**Event-based UI applications should be responsive**

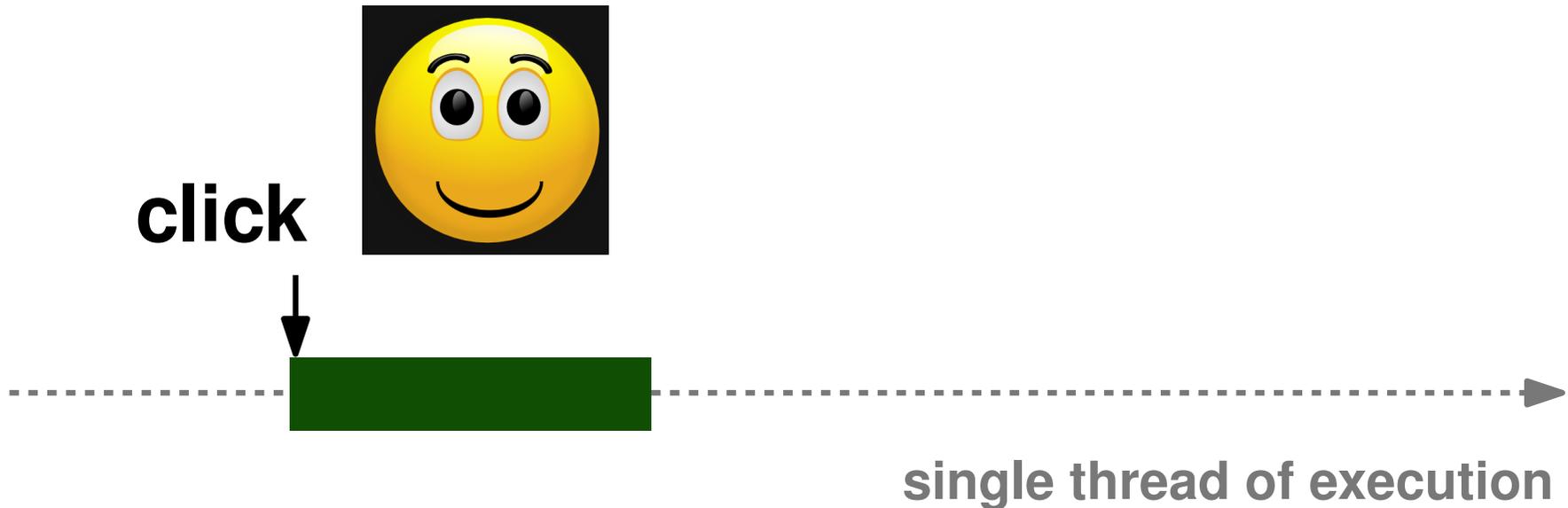


single thread of execution

# Motivation

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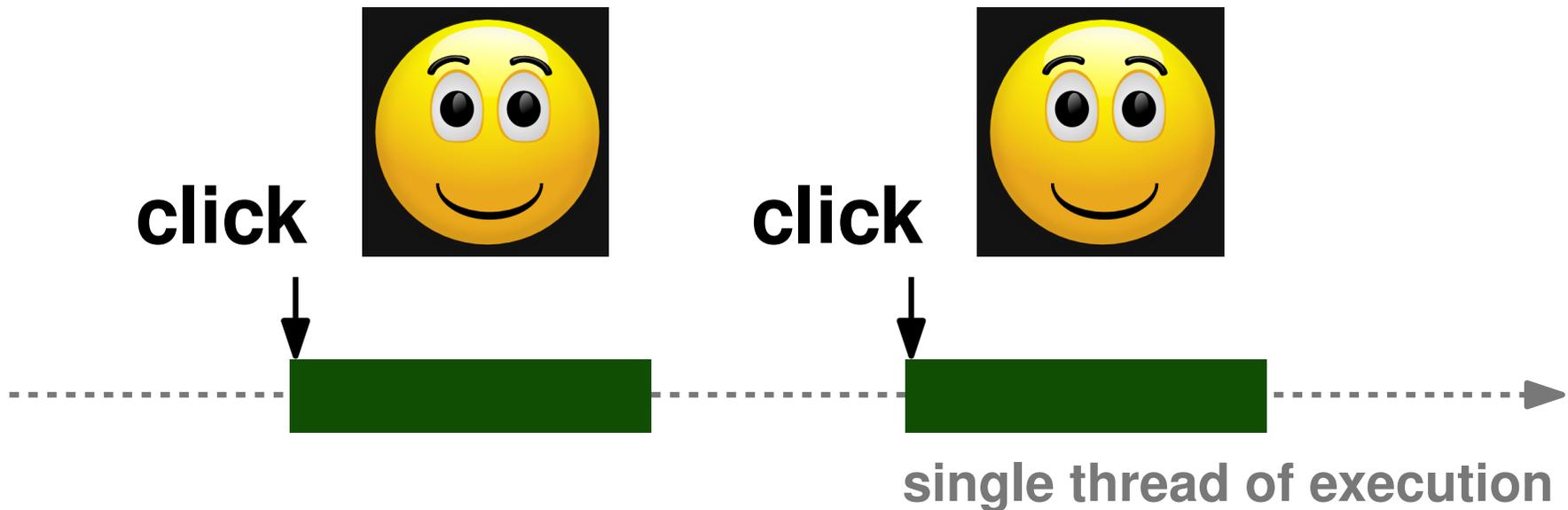
**Event-based UI applications should be responsive**



# Motivation

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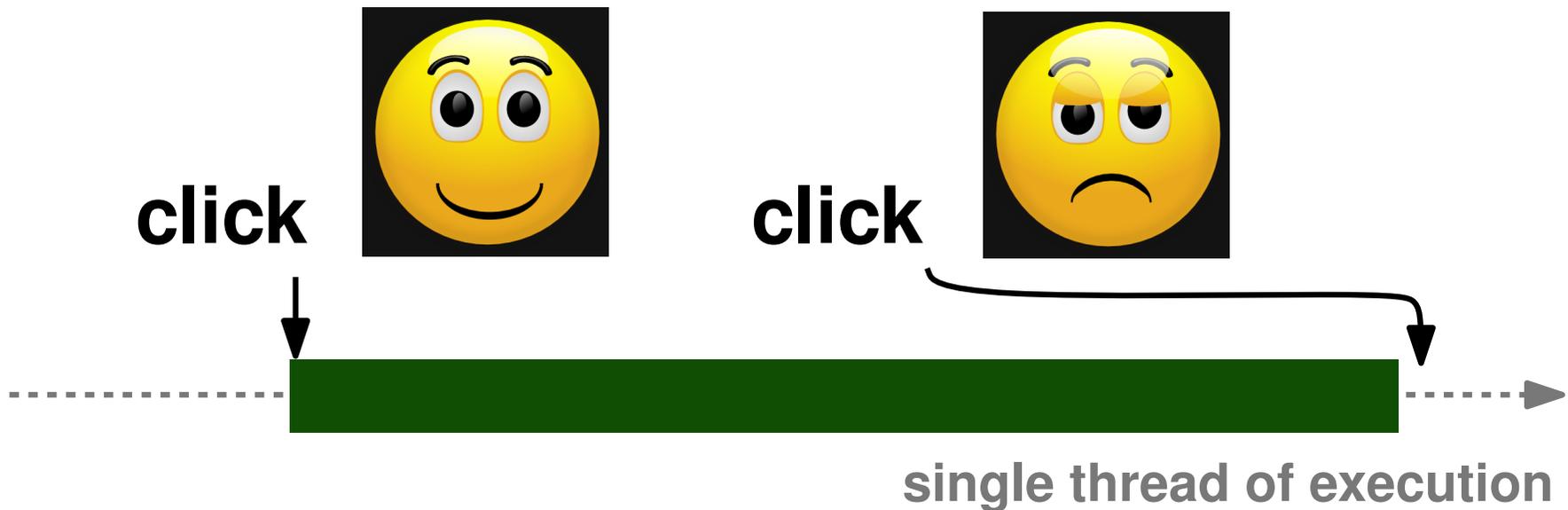
**Event-based UI applications should be responsive**



# Motivation

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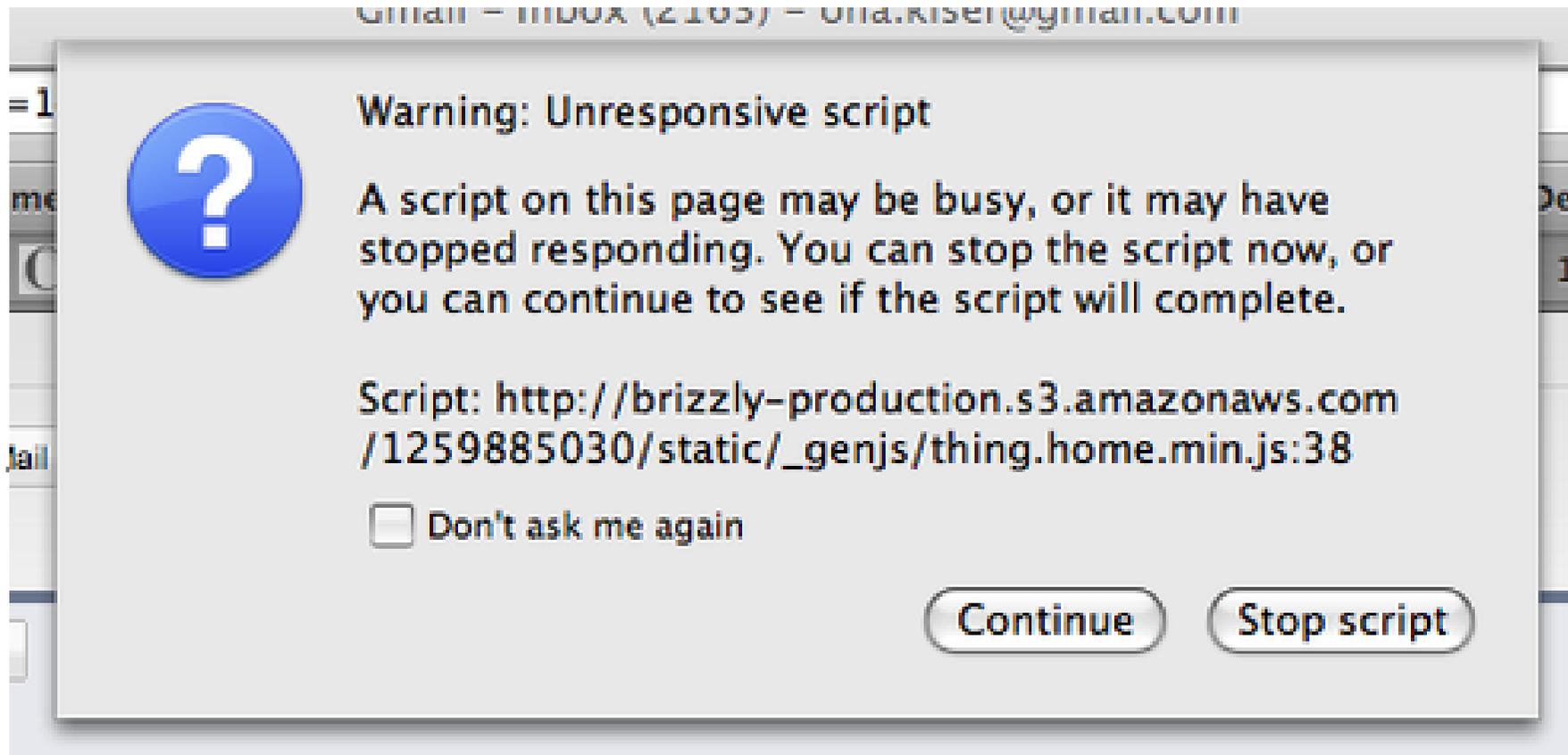
**Event-based UI applications should be responsive**



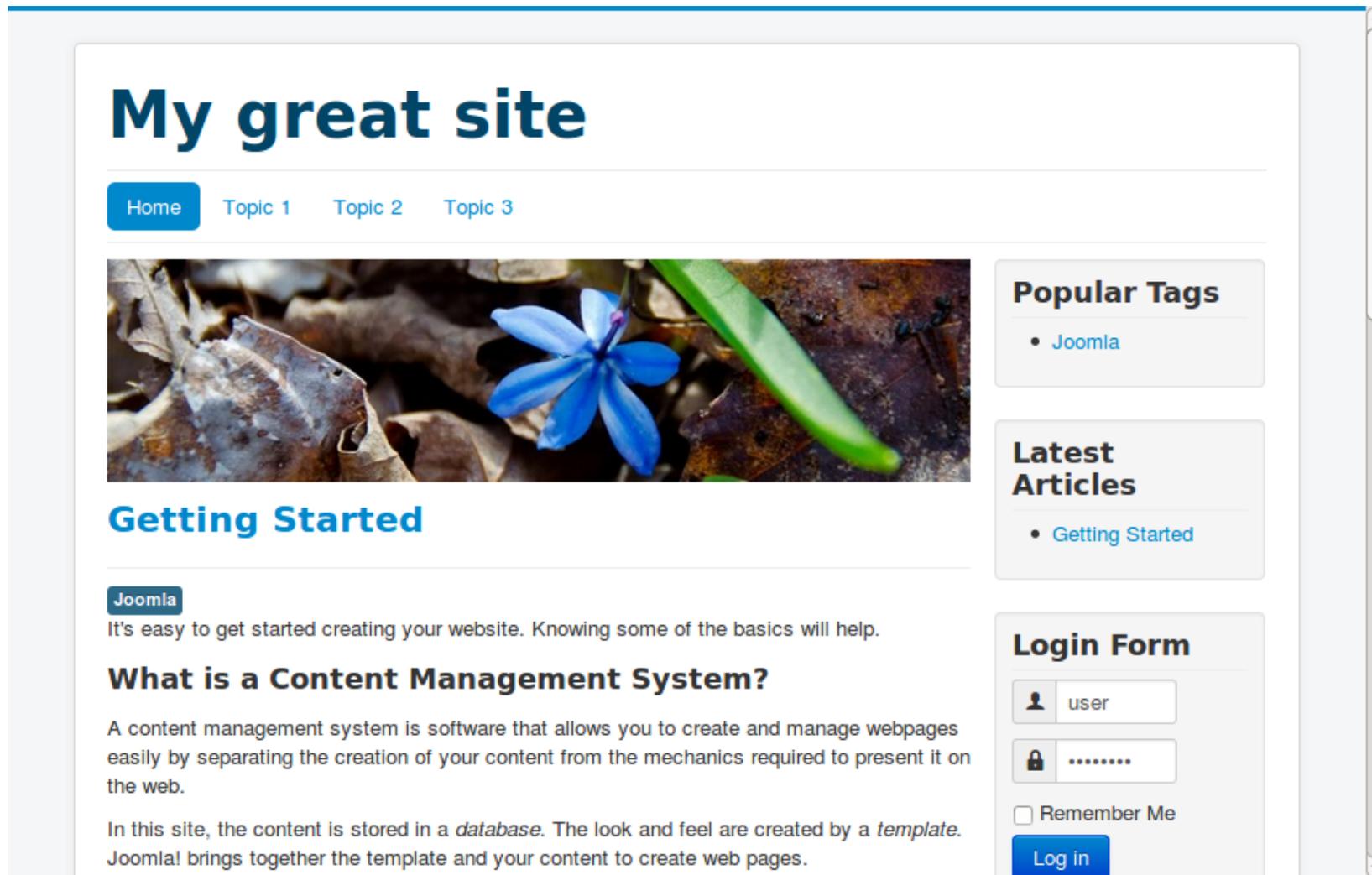
# Motivation

---

## Event-based UI applications should be responsive



# Real-World Example



**My great site**

Home Topic 1 Topic 2 Topic 3



**Getting Started**

**Joomla**

It's easy to get started creating your website. Knowing some of the basics will help.

### What is a Content Management System?

A content management system is software that allows you to create and manage webpages easily by separating the creation of your content from the mechanics required to present it on the web.

In this site, the content is stored in a *database*. The look and feel are created by a *template*. Joomla! brings together the template and your content to create web pages.

**Popular Tags**

- Joomla

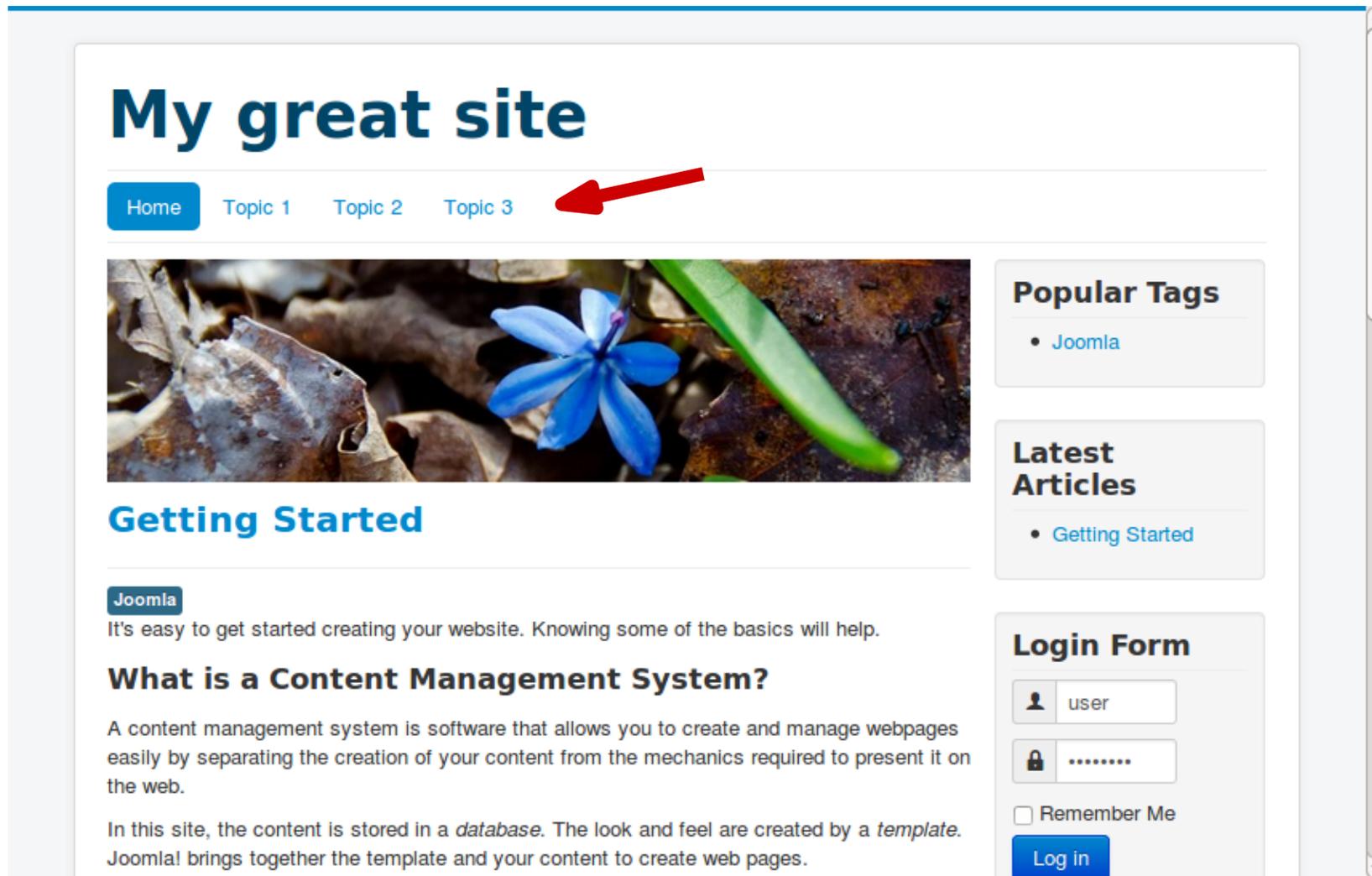
**Latest Articles**

- Getting Started

**Login Form**

Remember Me

# Real-World Example



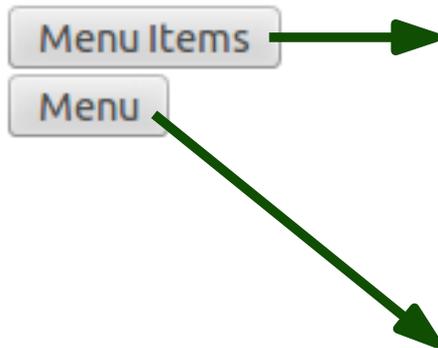
The screenshot shows a Joomla! website with the following layout:

- Header:** "My great site" in large blue font.
- Navigation:** A horizontal menu with buttons for "Home", "Topic 1", "Topic 2", and "Topic 3". A red arrow points to the "Topic 3" button.
- Main Content Area:**
  - Image:** A photograph of a blue flower with a green stem and brown leaves.
  - Section Header:** "Getting Started" in blue font.
  - Text:** A paragraph starting with "It's easy to get started creating your website. Knowing some of the basics will help."
  - Section Header:** "What is a Content Management System?" in bold black font.
  - Text:** A paragraph explaining that a content management system is software that allows creating and managing webpages easily by separating content creation from presentation mechanics.
  - Text:** A paragraph stating that in this site, content is stored in a *database*, the look and feel are created by a *template*, and Joomla! brings them together to create web pages.
- Right Sidebar:**
  - Popular Tags:** A list containing "Joomla".
  - Latest Articles:** A list containing "Getting Started".
  - Login Form:** A form with fields for "user" and "password" (masked with dots), a "Remember Me" checkbox, and a "Log in" button.

# Real-World Example

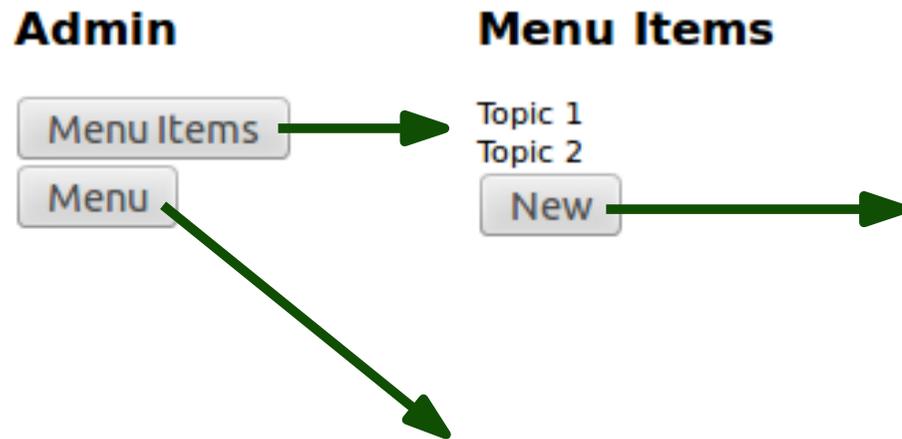
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## Admin



# Real-World Example

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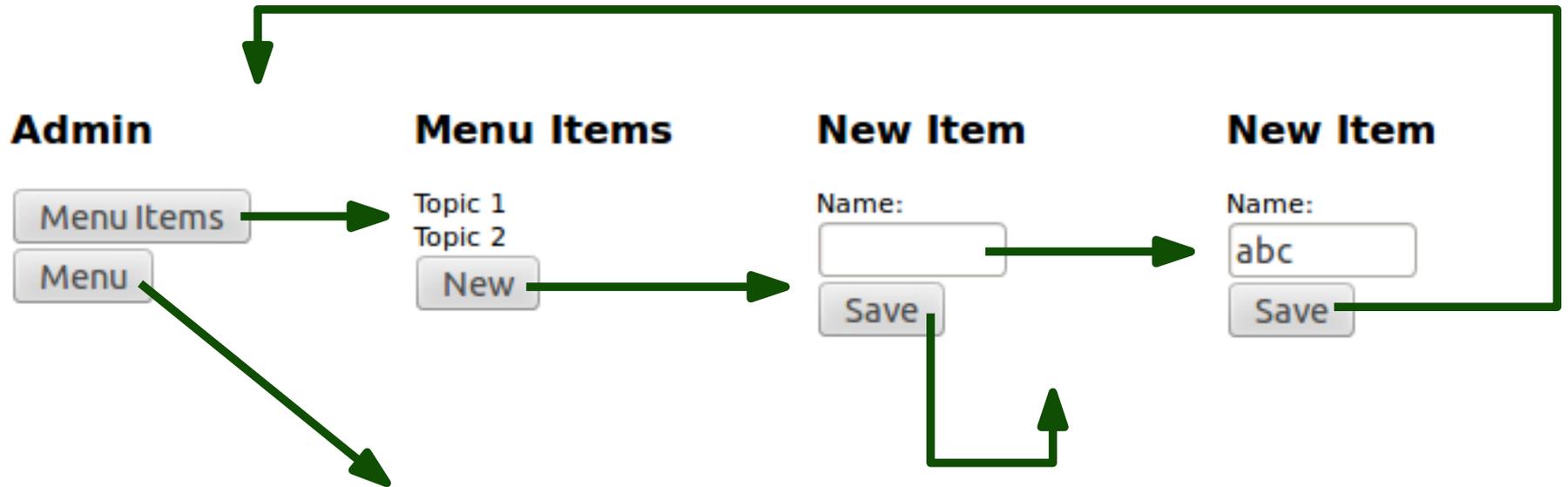
# Real-World Example

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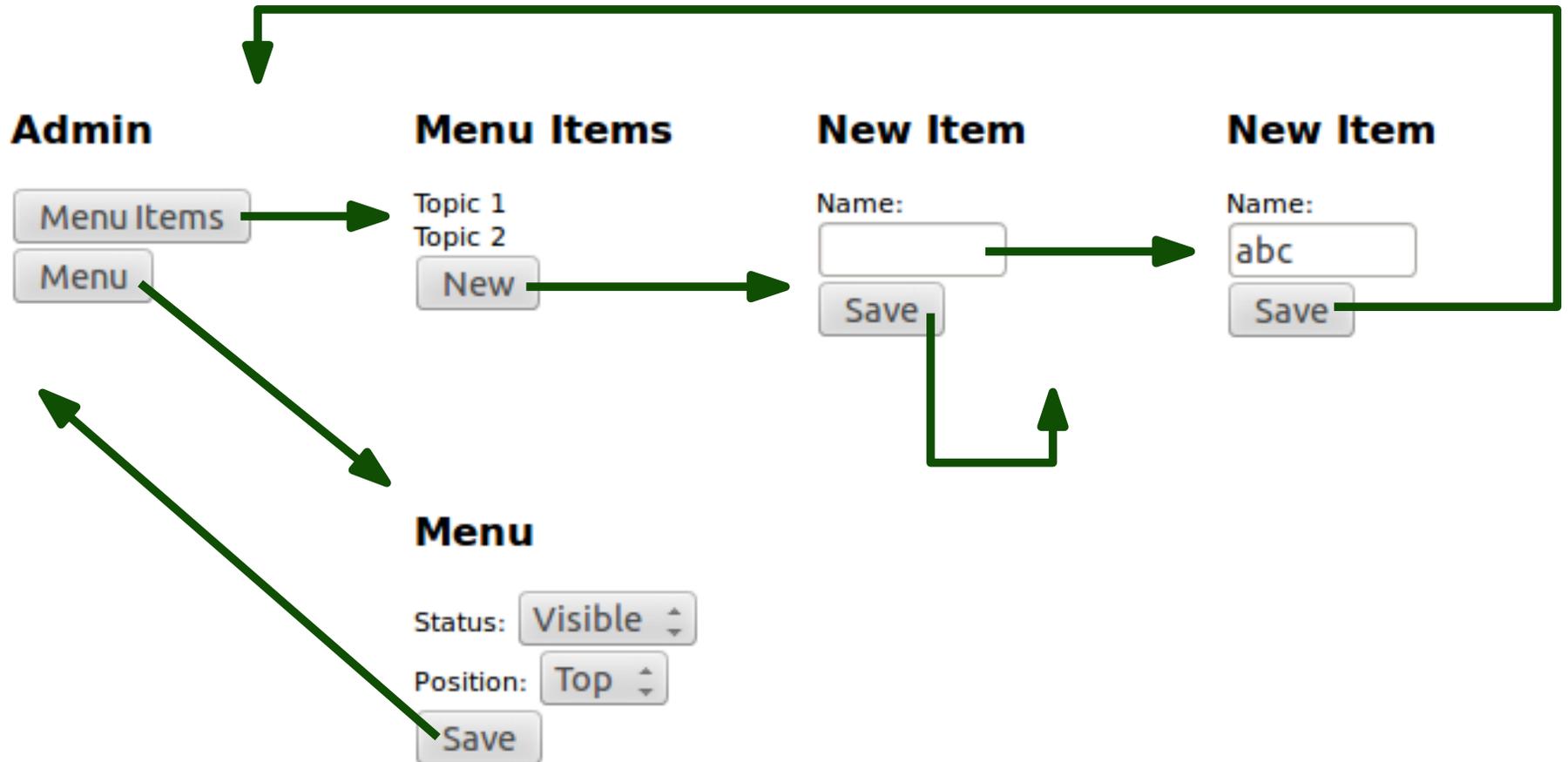
# Real-World Example

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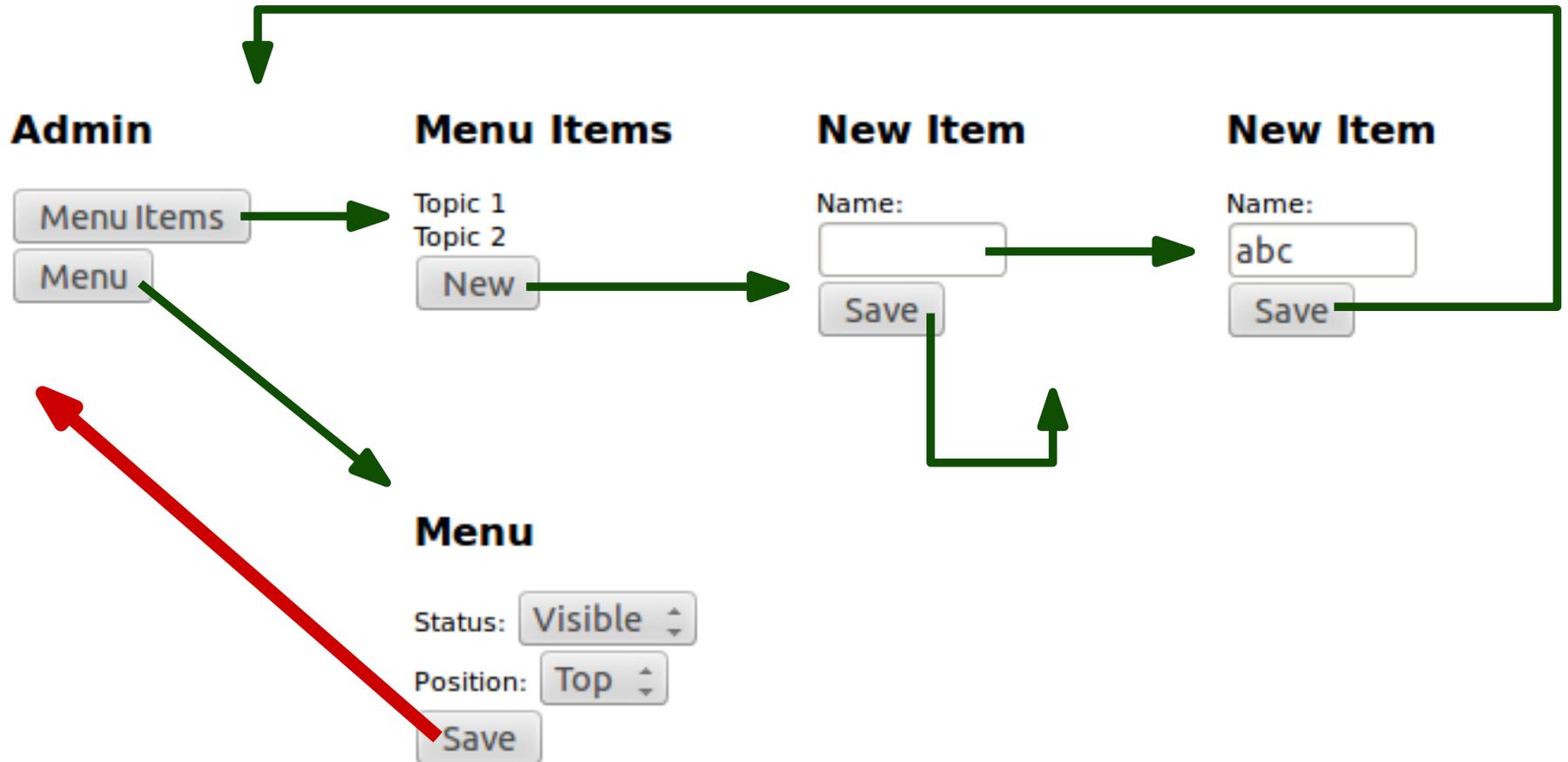
# Real-World Example

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# Real-World Example

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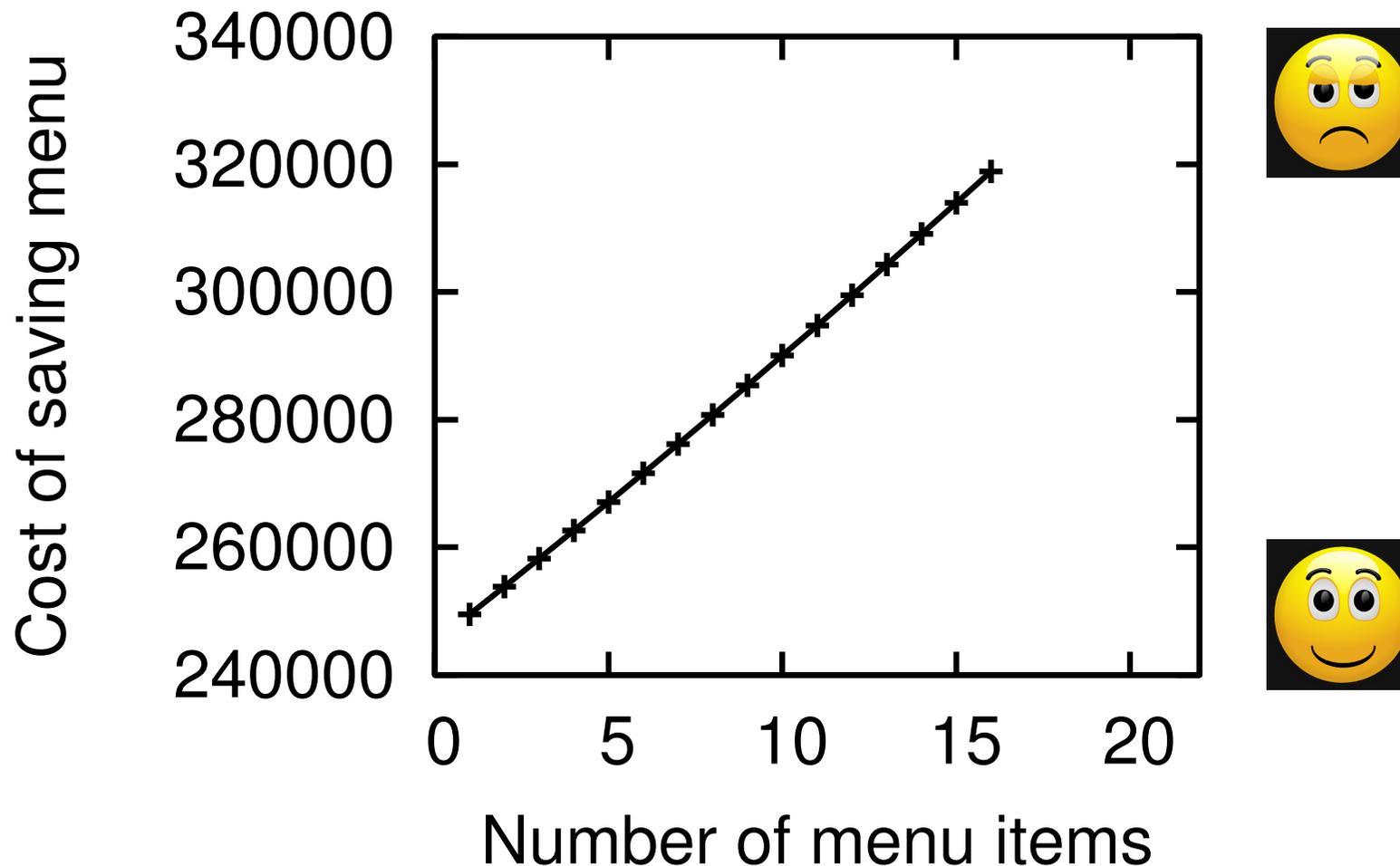


**Unresponsive**

# Real-World Example

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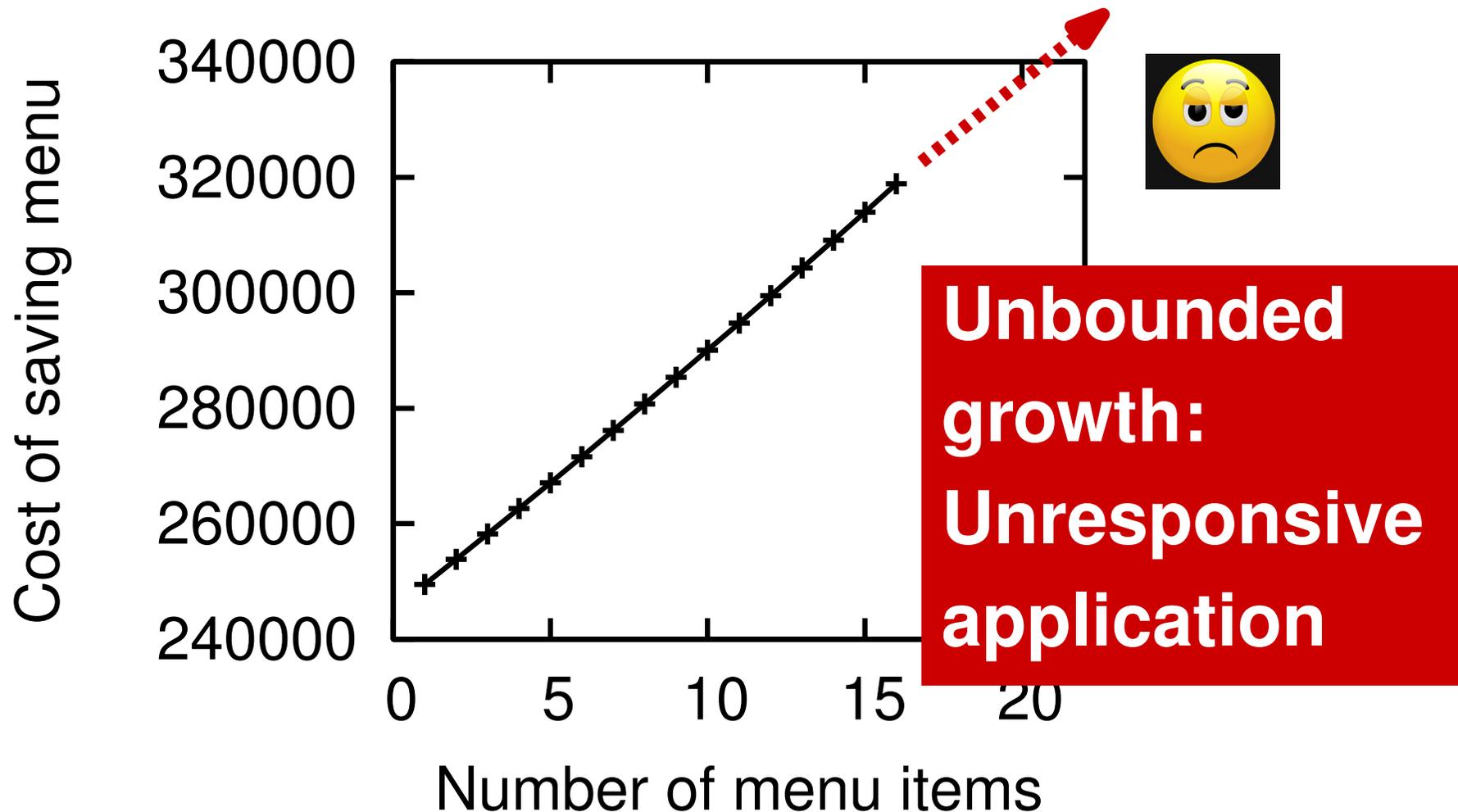
## Cost plot for responsiveness problem



# Real-World Example

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## Cost plot for responsiveness problem



# EventBreak: Idea

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Analyze **responsiveness** of web applications through **automated testing**

Focus: **Slowdown pairs**

Event  $E_{cause}$  increases cost of event  $E_{effect}$

# Overview

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**Dynamic analysis of application**

Event-cost history

**Infer potential  
slowdown pairs**

**Infer finite state  
model of application**

**Targeted test generation:  
Verify slowdown pairs**

Slowdown pairs with cost plots

# Overview

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**Dynamic analysis of application**

Event-cost history

**Infer potential  
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**Infer finite state  
model of application**

**Targeted test generation:  
Verify slowdown pairs**

Slowdown pairs with cost plots

# Potential Slowdown Pairs

---

Does A increase cost of B?

Event	Cost		
B	5	]	Supporting evidence $S$
A	3		
B	10	]	Refuting evidence $R$
B	12		
A	3		
B	12		

$$Supp = |S| = 1 \quad Conf = \frac{|S|}{|S| + |R|} = 33\%$$

# Targeted Test Generation

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**Confirm or reject slowdown pair:**

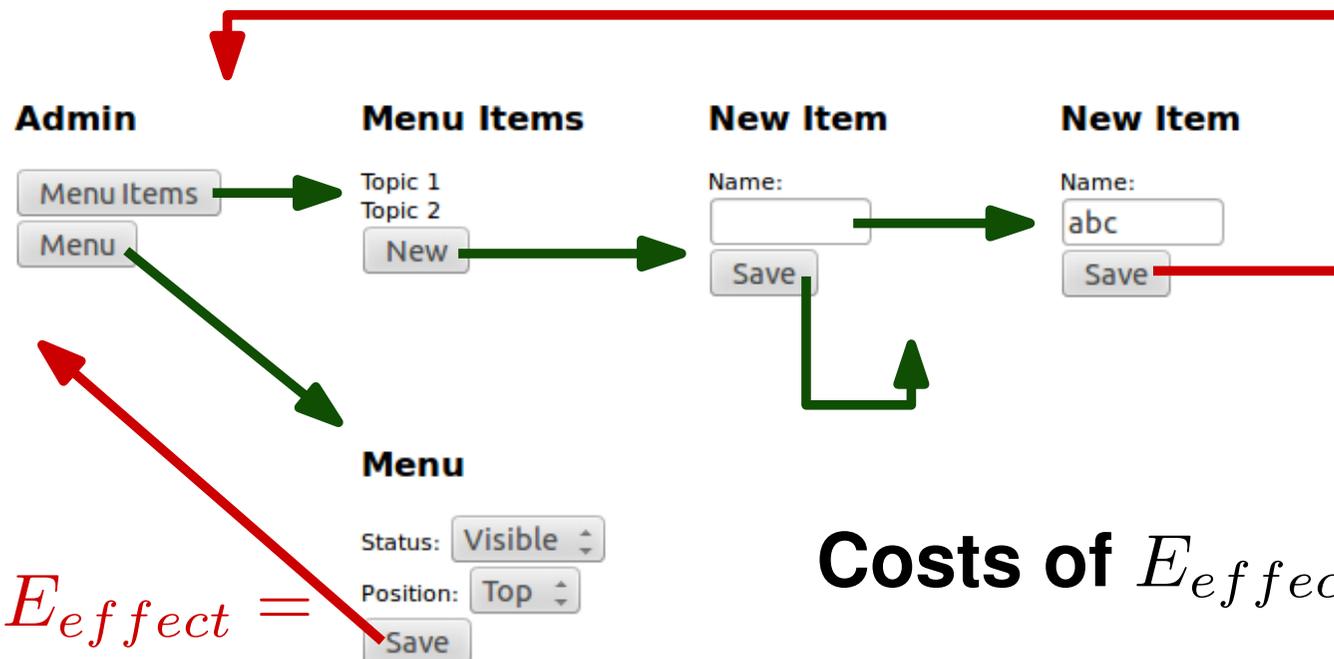
**Alternate between  $E_{effect}$  and  $E_{cause}$**

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} =$  Save new item



Costs of  $E_{effect}$ :

Save menu

current state

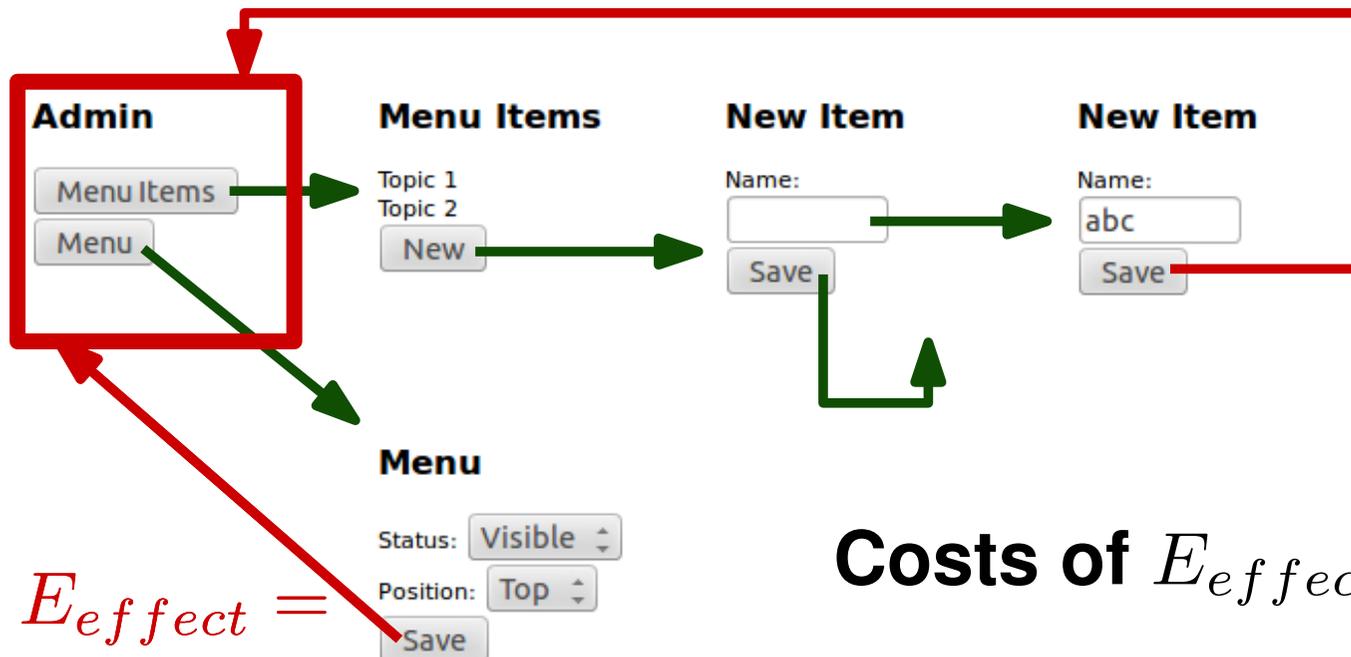
→ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} =$  **Save new item**



$E_{effect} =$

**Save menu**

**Costs of  $E_{effect}$ :**

current state

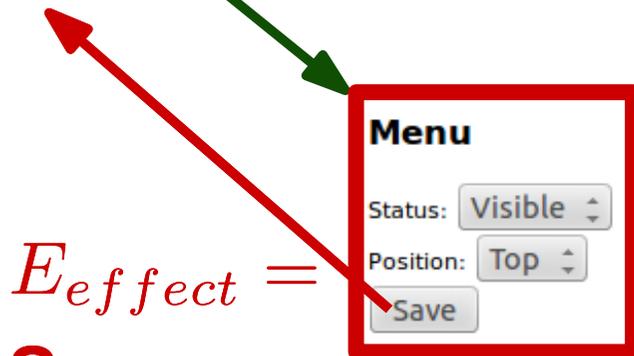
➔ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} =$  **Save new item**



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**

current state

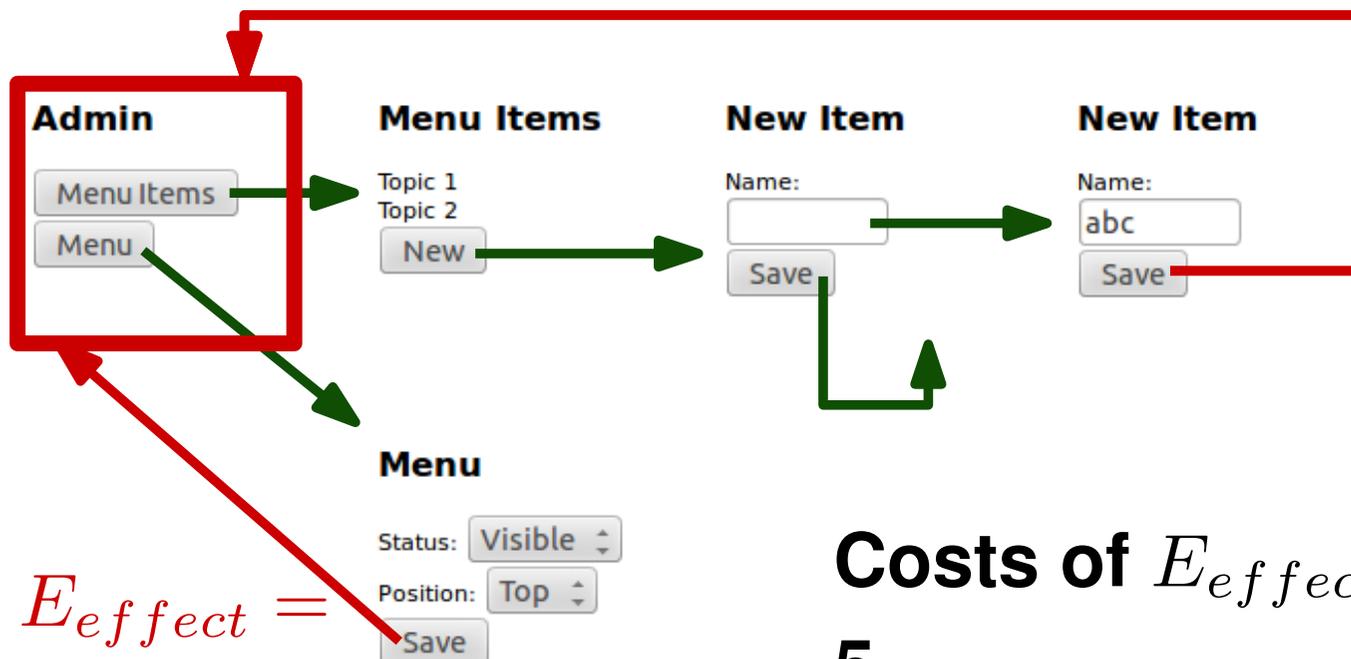
→ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} =$  **Save new item**



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**  
**5**

current state

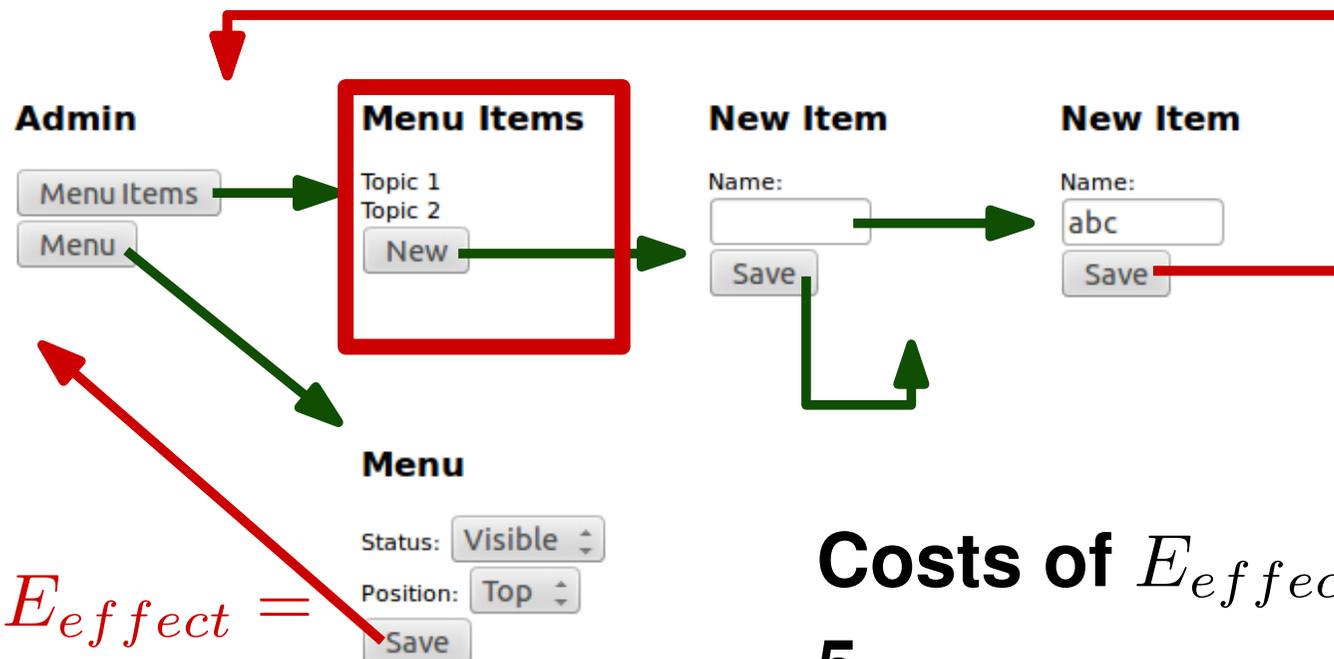
→ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} =$  **Save new item**



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**  
**5**

current state

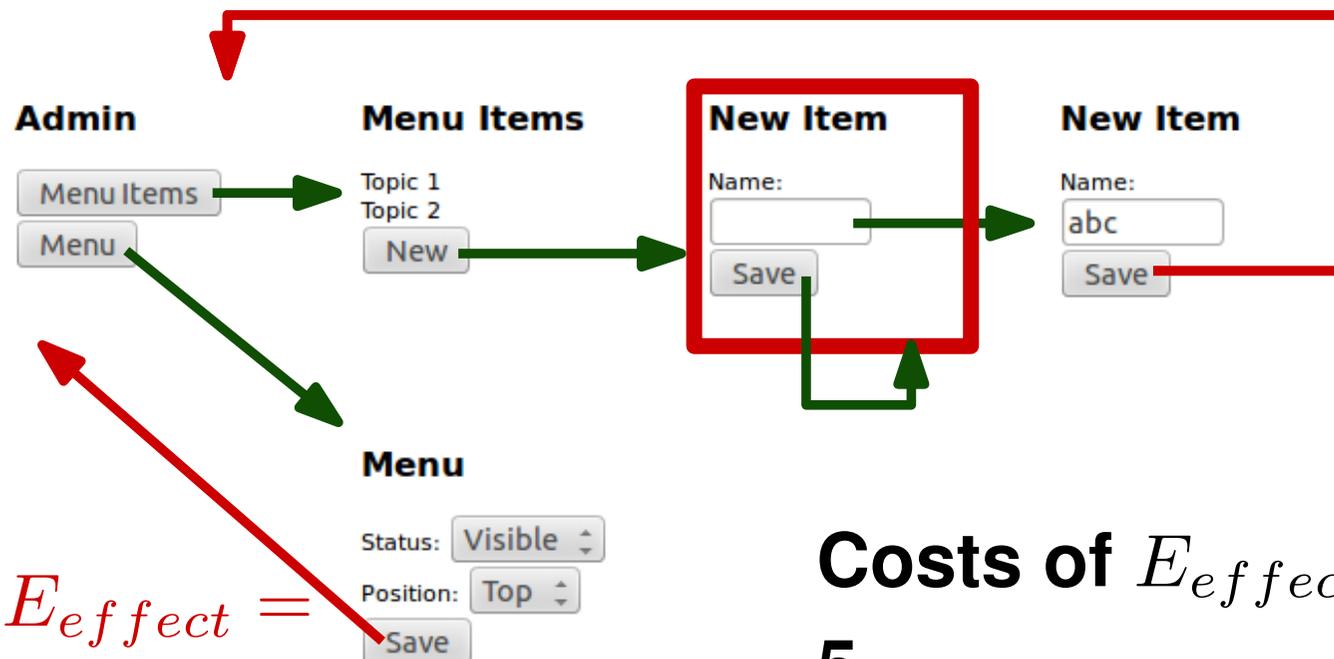
→ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} = \text{Save new item}$



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**  
**5**

current state

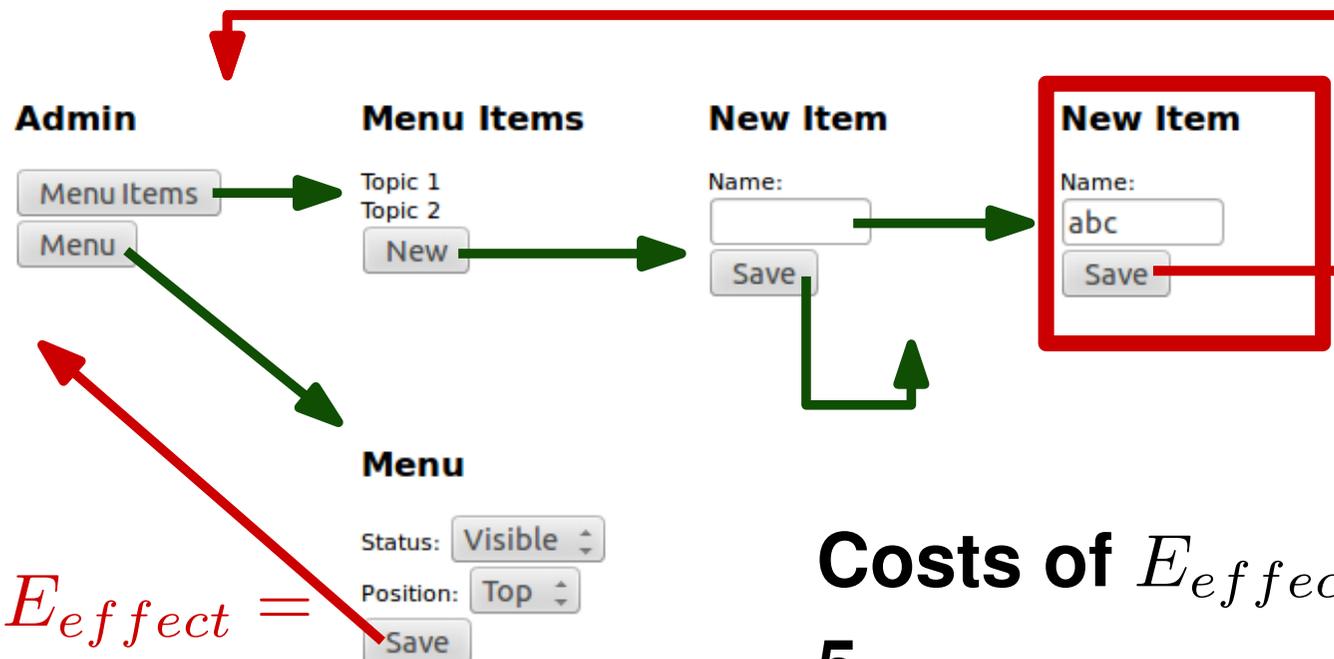
→ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} = \text{Save new item}$



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**  
**5**

current state

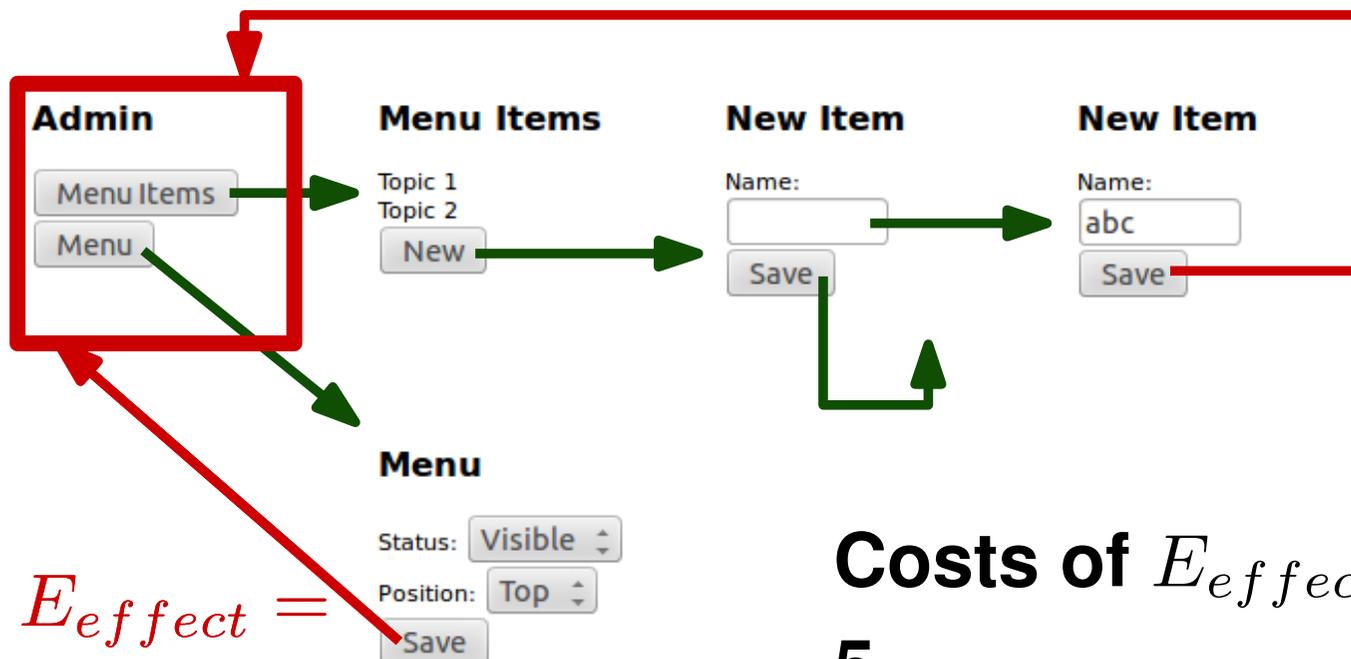
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Confirm or reject slowdown pair:

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$E_{cause} = \text{Save new item}$



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**  
**5**

current state

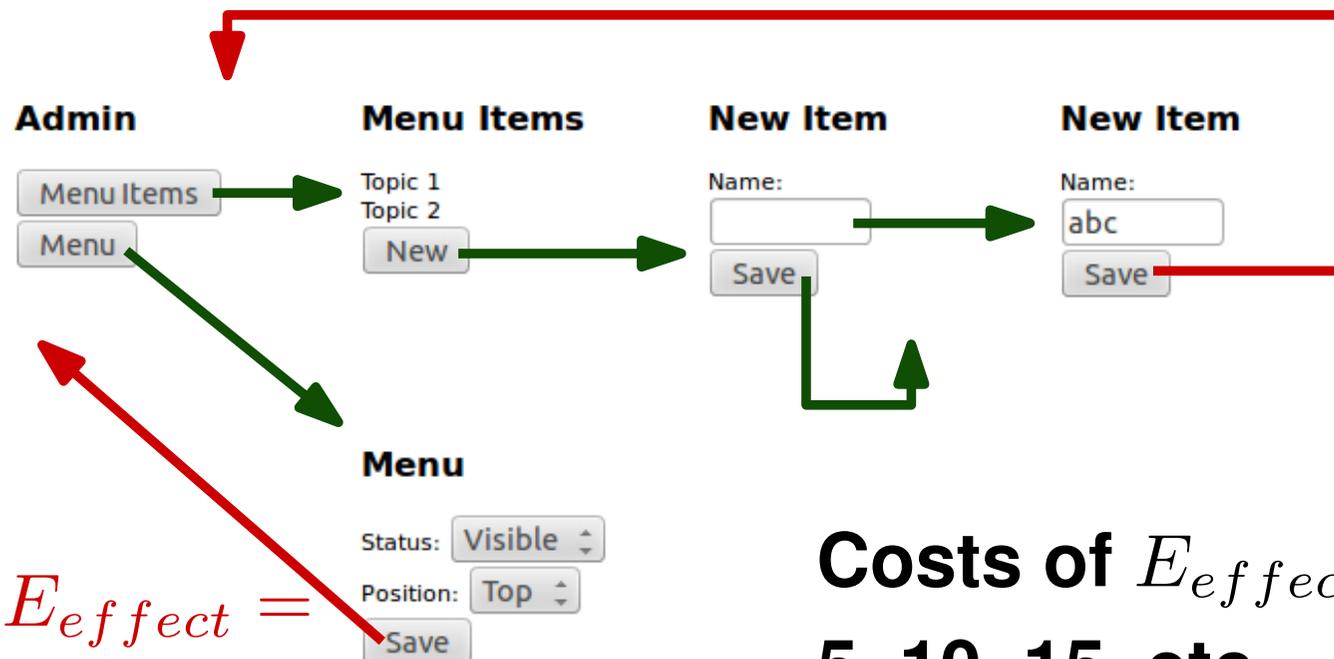
→ target event

# Targeted Test Generation

Confirm or reject slowdown pair:

Alternate between  $E_{effect}$  and  $E_{cause}$

$E_{cause} =$  **Save new item**



$E_{effect} =$   
**Save menu**

**Costs of  $E_{effect}$ :**  
5, 10, 15, etc.

current state

→ target event

# Summary: GUI Testing

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- Automated **system-level** testing
- **Black-box** and **white-box** approaches to explore **huge search space**
  - Artemis: Whitebox
  - SwiftHand and EventBreak: Mostly blackbox
- Different **test oracles** possible
  - Application crashes (robustness testing)
  - Consistency criterion, e.g., HTML validation
  - Responsiveness (performance testing)